



Europäisches  
Patentamt

European  
Patent Office

Office européen  
des brevets

REC'D 29 MAR 2004  
WIPO PCT

**Bescheinigung**

**Certificate**

**Attestation**

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

**Patentanmeldung Nr. Patent application No. Demande de brevet n°**

03405207.6 ✓

**PRIORITY  
DOCUMENT**

SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
p.o.

R C van Dijk



Anmeldung Nr:  
Application no.: 03405207.6  
Demande no:

Anmeldetag:  
Date of filing: 26.03.03 ✓  
Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Rolic AG  
Chamerstrasse 50  
6301 Zug  
SUISSE

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:  
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.  
If no title is shown please refer to the description.  
Si aucun titre n'est indiqué se referer à la description.)

Polymerizable dichroic azo dyes

In Anspruch genommene Priorität(en) / Priority(ies) claimed /Priorité(s)  
revendiquée(s)  
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/  
Classification internationale des brevets:

C09B/

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of  
filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL  
PT RO SE SI SK TR LI

## Polymerizable dichroic azo dyes

5 The invention relates to new polymerizable dichroic azo dyes, mesogenic polymerizable mixtures containing the same and their use for the preparation of dichroic polymer networks and gels, which for instance may find application as uniform or patterned polarizers.

10 Many dyes have been developed for a variety of applications such as dyeing of fabrics, printing of textiles, coloring of plastics, color image formation in photography, etc. To provide the requisite properties, such as hue, solubility, affinity for the substrate, chemical resistance, and compatibility with the medium from which the dye is applied, the molecular structure and bond rigidity of the dye are specially designed for each application. Important properties required for the present application in mesogenic, 15 polymerizable mixtures and dichroic polymer networks and gels, include the following: dichroism, solubility, high order parameter and stability of the dichroic polymer.

20 Dichroism is the property whereby an oriented assembly of dye molecules exhibits relatively low absorption of a given wavelength of light in one state of orientation and a relatively high absorption of the same wavelength in another state of orientation with respect to the polarization direction of the light source. The orientation of the dye molecules can be brought about for example by dissolution in a solvent of liquid crystals or by embedding the dye in a stretched plastic.

25 Solubility must be sufficiently high so that thin layers, e.g. in the range of micrometers, may contain enough dye molecules to have adequate light absorption in one of the orientate states. Ionic dyes will be generally inadequate because of their low solubility.

30 Order parameter is a quantitative measure of the degree of molecular order or alignment in a given system. High order parameter is promoted by dyes with elongated shape having a large ratio of molecular length to width, similar to the shape of molecules of the liquid crystal host material. To assure an elongated shape, the molecules should have a rigid structure, which can be obtained, for example, by a substantially linear arrangement of benzene or heterocyclic rings.

Brightness and contrast of dichroic polymer networks or gels are both related to the order parameter S of the dye, where

$$S = D_{||} - D_{\perp} / D_{||} + 2D_{\perp}$$

and  $D_{||}$  and  $D_{\perp}$  are the optical densities of a dichroic dye in a liquid crystal

5 measured for light polarizations parallel and perpendicular to the liquid crystal director.

Advantageously, the order parameter should exceed 0.70 and preferably should be as high as possible.

10 Stability of the dichroic polymer means that the properties of the polymer containing the dichroic dye should not alter during time due to diffusion processes or inhomogeneities caused by partial crystallization of the dye. It also means that the dichroic dyes should not migrate into adjacent layers if such polymers are designed for use in multilayer systems.

15 Various dichroic dyes have been proposed as materials, which fulfill partly the above-described requirements. There are, however, still drawbacks, which should be improved. In particular, those having high order parameters have poor solubility or cause instability of the dichroic polymer, and those showing good stability of the

20 dichroic polymer do not have a high order parameter. Thus, there is clearly a need for a concept whereby all or at least some of the above-described requirements may be fulfilled.

25 With the forgoing in view, the present inventors have carried out extensive investigations and found that the incorporation of ring systems, which increase the width of the molecule, into azo-chromophores leads to dyes combining sufficient solubility and a very high order parameter, when at least one standard mesogenic ring system is attached at the chromophore, and that the above described stability problem can be overcome if the dichroic dye contains at least one polymerizable group.

30

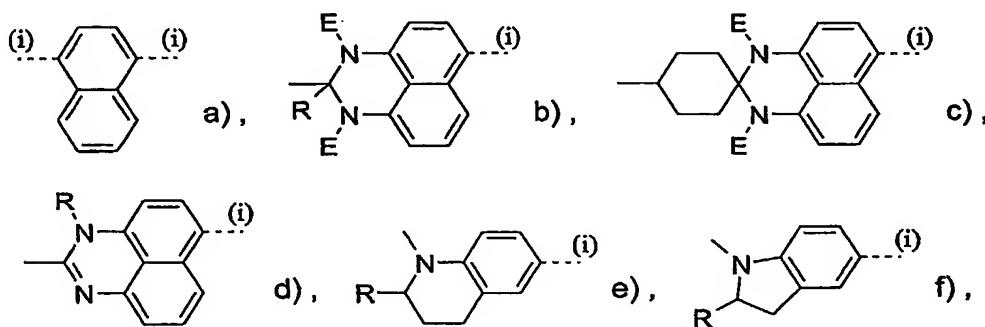
Thus, in a first aspect the present invention provides a polymerizable dichroic azo dye of the general formula I:



5

wherein:

A 10 represents a dichroic residue, which may comprise one or more polymerizable groups PG, exhibiting at least partial absorption in the visible region between 400 nanometer and 800 nanometer and comprising at least one azo-binding group that is linked to at least one radical of formula a) to f) shown below,



15

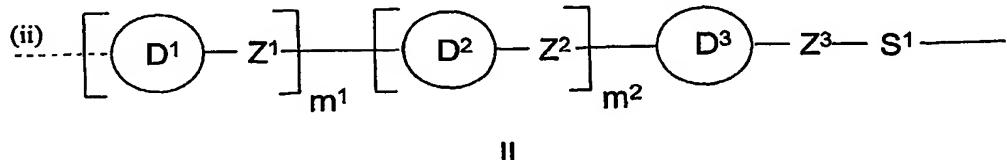
which radical of formula a) to f) may be unsubstituted, mono- or poly-substituted by fluorine, chlorine, hydroxy, -NR<sup>1</sup>R<sup>2</sup> or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -NR<sup>1</sup>-CO-, -CO-NR<sup>1</sup>-, -NR<sup>1</sup>-CO-O-, -O-CO-NR<sup>1</sup>-, -CH=CH-, -C≡C-, -O-CO-O-, wherein R<sup>1</sup> and R<sup>2</sup> independently represent hydrogen or lower alkyl,

20 wherein the broken line (i) symbolizes the possible linkages to the azo-binding group and wherein

R 25 represents hydrogen or lower alkyl;

E each independently represents hydrogen, lower alkyl, lower acyl or a polymerizable group selected from acryloyl or methacryloyl;

B represents a group of substructure II



5 wherein the broken line (ii) symbolizes the linkage to said dichroic residue and  
wherein:

10 D<sup>1</sup>, D<sup>2</sup>, D<sup>3</sup> each independently represents an aromatic or alicyclic group,  
which is unsubstituted or substituted by fluorine, chlorine, cyano,  
nitro, or by a straight chain or branched alkyl residue having 1-10  
carbon atoms, which alkyl residue is unsubstituted, mono- or poly-  
substituted by fluorine and wherein one or more of the non-adjacent  
CH<sub>2</sub> groups may independently be replaced by Q, whereby Q  
represents -O-, -CO-, -CO-O-, -O-CO-, -Si(CH<sub>3</sub>)<sub>2</sub>-O-Si(CH<sub>3</sub>)<sub>2</sub>-, -NR-,  
-NR-CO-, -CO-NR-, -NR-CO-O-, -O-CO-NR-, -NR-CO-NR-,  
15 -CH=CH-, -C≡C-, -O-CO-O- and R has the meaning given above;

20 S<sup>1</sup> represents a single covalent bond or a spacer unit, such as a  
straight-chain or branched alkylene residue, which is unsubstituted,  
mono-substituted by cyano or halogeno, or poly-substituted by  
halogeno, having 1 to 24 carbon atoms, wherein one or more of the  
non-adjacent CH<sub>2</sub> groups may independently be replaced by Q,  
wherein Q has the meaning given above and wherein heteroatoms  
25 are not directly linked to each other;

25 Z<sup>1</sup>, Z<sup>2</sup>, Z<sup>3</sup> each independently represents a single covalent bond or a  
spacer unit, such as a straight-chain or branched alkylene residue,  
which is unsubstituted, mono-substituted by cyano or halogeno, or  
poly-substituted by halogeno, having 1 to 8 carbon atoms, wherein  
30 one or more of the non-adjacent CH<sub>2</sub> groups may independently be  
replaced by Q or -CR=C-CO-, wherein Q and R have the meaning  
given above;

$m^1, m^2$  independently are 0 or 1; and

P represents hydrogen, halogeno, cyano, nitro or a polymerizable group PG; and

5

with the proviso that the compound of formula I comprises at least one polymerizable group.

10 It is understood that the term "dichroic residue" includes the pure chromophore system, to which ring systems, additional polymerizable groups and/or spacer units may be attached.

15 It is understood that the term "aromatic" includes optionally substituted carbocyclic and heterocyclic groups comprising five-, six- or ten-membered ring systems, such as furane, phenyl, pyridine, pyrimidine, naphthalene, or tetraline units.

20 It is understood that the term "alicyclic" includes non-aromatic carbocyclic or heterocyclic ring systems having 3 to 10 carbon atoms, such as cyclopropane, cyclobutane, cyclopentane, cyclopentene, cyclohexane, 1,3-dioxane, cyclohexene, cyclohexadiene and decaline.

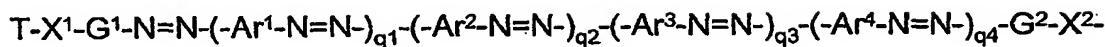
25 It is understood that the phrase "straight chain or branched alkyl residue having 1-10 carbon atoms, which is unsubstituted, mono- or poly-substituted by fluorine, wherein one or more of the non-adjacent  $CH_2$  groups may independently be replaced by Q" includes groups selected from the group comprising methyl, ethyl, propyl, isopropyl, butyl, isobutyl, sec-butyl, tert-butyl, pentyl, isopentyl, cyclopentyl, hexyl, cyclohexyl, heptyl, octyl, nonyl, decyl, 3-methylpentyl, allyl, but-3-en-1-yl, pent-4-en-1-yl, hex-5-en-1-yl, propynyl, butynyl, pentynyl, methoxy, ethoxy, propoxy, isopropoxy, butoxy, isobutoxy, sec-butoxy, tert-butoxy, pentyloxy, isopentyloxy, cyclopentyloxy, 30 hexyloxy, cyclohexyloxy, heptyloxy, octyloxy, nonyloxy, 3-methylpentylmethoxy, allyloxy, but-3-enyloxy, pent-4-enyloxy, cyclohexylmethoxy, cyclopentylmethoxy, methoxycarbonyl, ethoxycarbonyl, propoxycarbonyl, isopropoxycarbonyl, butoxycarbonyl, isobutoxycarbonyl, sec-butoxy-carbonyl, tert-butoxycarbonyl, pentyloxycarbonyl, isopentyloxycarbonyl, cyclopentyloxy-carbonyl, hexyloxycarbonyl, cyclohexyloxy-carbonyl, octyloxycarbonyl, nonyloxycarbonyl, 3-methylpentylmethoxycarbonyl,

allyloxycarbonyl, but-3-enyloxycarbonyl, pent-4-en-yl-oxy-carbonyl, cyclohexylmethoxy-carbonyl, cyclopentylmethoxycarbonyl, acetoxy, ethylcarbonyloxy, propylcarbonyloxy, isopropylcarbonyloxy, butylcarbonyloxy, isobutylcarbonyloxy, sec-butylcarbonyloxy, tert-butylcarbonyloxy, pentylcarbonyloxy, isopentylcarbonyloxy, cyclopentylcarbonyloxy, hexylcarbonyloxy, cyclohexylcarbonyloxy, octylcarbonyloxy, nonylcarbonyloxy, 3-methylpentylcarbonyloxy, but-3-enyloxy, pent-4-enyloxy, acetyl, ethylcarbonyl, propylcarbonyl, isopropylcarbonyl, butylcarbonyl, isobutylcarbonyl, sec-butylcarbonyl, pentylcarbonyl, isopentylcarbonyl, cyclohexylcarbonyl, octylcarbonyl, nonylcarbonyl, methoxyacetoxy, 1-methoxy-2-propoxy, 3-methoxy-1-propoxy, 2-methoxyethoxy, 2-isopropoxyethoxy, 1-ethoxy-3-pentyloxy, 3-butynyoxy, 4-pentyloxy, 5-chloropentynyl, 4-pentynecarbonyloxy, 6-propyloxyhexyl, 6-propyloxyhexyloxy, 2-fluoroethyl, trifluoromethyl, 2,2,2-trifluoroethyl, 1H,1H-pentadecafluoro-octyl, 1H,1H,7H-dodecafluoroheptyl, 2-(perfluoroctyl)ethyl, 2-(perfluorobutyl)ethyl, 2-(perfluorohexyl)ethyl, 2-(perfluorodecyl)ethyl, perfluoropropyl, perfluorobutyl, perfluoroheptyl, perfluoroctyl, perfluorononyl, 1-fluoropropoxy, 1-fluoropentyloxy, 2-fluoropropoxy, 2,2-difluoropropoxy, 3-fluoropropoxy, 3,3-difluoropropoxy, 3,3,3-trifluoropropoxy, trifluoromethoxy, and the like.

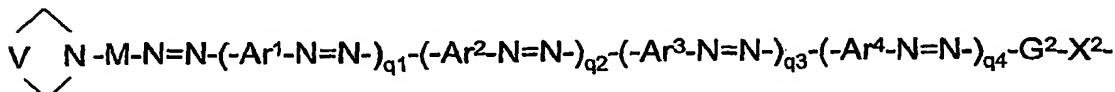
It is understood that the term "lower alkyl" includes straight chain and branched hydrocarbon radicals having 1 to 6 carbon atoms, preferably 1 to 3 carbon atoms. Methyl, ethyl, propyl and isopropyl groups are especially preferred.

It is understood that the term "lower acyl" includes acetyl, propionyl, butyryl and isobutyryl groups. Acetyl is especially preferred.

The dichroic residue A may preferably be selected from the groups according to general formulae IIIa or IIIb:



IIIa



IIIb

5

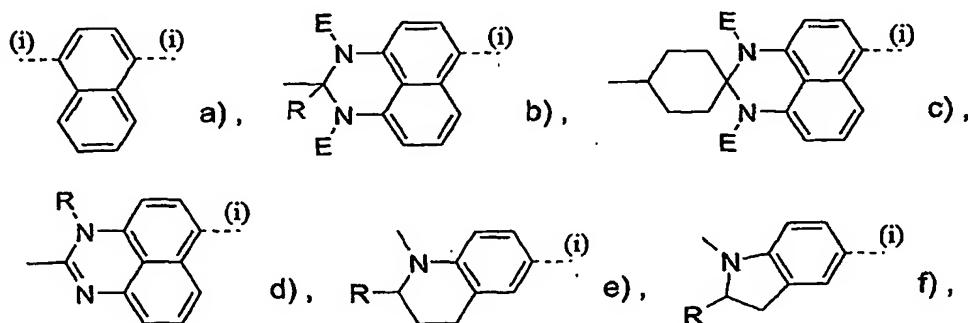
wherein

Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup>, Ar<sup>4</sup> independently of each other are 1,4-phenylene, 1,4- or 1,5-naphthylene, which are unsubstituted, mono- or poly-substituted by 10 fluorine, chlorine, hydroxy, -NR<sup>1</sup>R<sup>2</sup> or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q has the meaning given above and R<sup>1</sup> and R<sup>2</sup> independently represent hydrogen or lower alkyl;

15

q<sup>1</sup>, q<sup>2</sup>, q<sup>3</sup>, q<sup>4</sup> independently are 0 or 1;

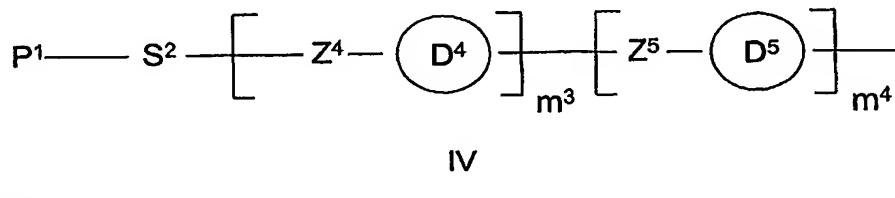
G<sup>1</sup>, G<sup>2</sup> represent independently of each other 1,4-phenylene or a group of formula a) 20 to f)



which are unsubstituted, mono- or poly-substituted by fluorine, chlorine, hydroxy, -NR<sup>1</sup>R<sup>2</sup> or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -NR<sup>1</sup>-CO-, -CO-NR<sup>1</sup>-, -NR<sup>1</sup>-CO-O-, -O-CO-NR<sup>1</sup>-, -CH=CH-, -C≡C-, -O-CO-O-, wherein R<sup>1</sup> and R<sup>2</sup> independently represent hydrogen or lower alkyl and wherein the broken lines (i) symbolize the linkage to the azo-binding group and R, E have the meaning given above;

M represents 1,4-phenylene, 1,4-naphthylene which are unsubstituted, mono- or poly-substituted by fluorine, chlorine, hydroxy, -NR<sup>1</sup>R<sup>2</sup> or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -NR<sup>1</sup>-CO-, -CO-NR<sup>1</sup>-, -NR<sup>1</sup>-CO-O-, -O-CO-NR<sup>1</sup>-, -CH=CH-, -C≡C-, -O-CO-O-, wherein R<sup>1</sup> and R<sup>2</sup> independently represent hydrogen or lower alkyl;

T represents a group of substructure IV



wherein

P<sup>1</sup> represents hydrogen, halogeno, cyano, nitro or a polymerizable group PG;

S<sup>2</sup> represents a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 24 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q has the meaning given above and such that heteroatoms are not directly linked to each other;

5            Z<sup>4</sup>, Z<sup>5</sup> each independently represent a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 8 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by Q or -CR=C-CO-, wherein Q and R have the meaning given above; and

10            D<sup>4</sup>, D<sup>5</sup> each independently represent an aromatic or alicyclic group, which is unsubstituted or substituted by fluorine, chlorine, cyano, nitro, or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q has the meaning given above;

15            X<sup>1</sup>, X<sup>2</sup> represent independently of each other a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 8 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-, -CO-O-, -O-CO-, -Si(CH<sub>3</sub>)<sub>2</sub>-O-Si(CH<sub>3</sub>)<sub>2</sub>-, -NW-, -NW-CO-, -CO-NW-, -NW-CO-O-, -O-CO-NW-, -NW-CO-NW-, -CH=CH-, -C≡C-, -O-CO-O- or -CW=C-CO-, wherein W represents a group of substructure V

20            P<sup>2</sup>      Sp      V

25            wherein

26            P<sup>2</sup>      represents hydrogen, cyano or a polymerizable group PG; and

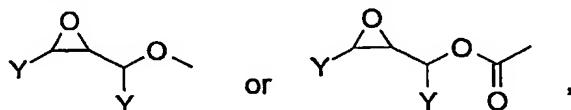
27            Sp      represents a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 5 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-, -CO-O-, -O-CO-, such that heteroatoms are not directly linked to each other;

✓ is selected from a group consisting of a single covalent bond,  $-\text{CH}_2-$ ,  $-\text{CH}_2-\text{CH}_2-$ ,  $-\text{CH}_2-\text{CH}_2-\text{CH}_2-$ ,  $-\text{CH}_2-\text{O}-\text{CH}_2-$ ,  $-\text{CH}_2-\text{NT}-\text{CH}_2-$ ,  $-\text{CH}_2-(\text{CH}_2)_2-\text{CH}_2-$ , wherein T has the meaning given above;

5 with the proviso that if G<sup>1</sup>, G<sup>2</sup> and M are optionally substituted 1,4-phenylene,  
 at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is optionally substituted 1,4-naphthylene.

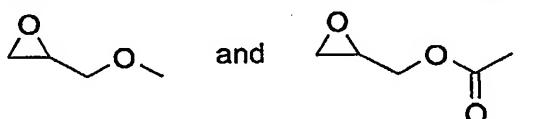
Polymerizable groups PG are each independently preferably selected from the formulae  $\text{CH}_2=\text{CY}-$ ,  $\text{CH}_2=\text{CY}-\text{COO}-$ ,  $\text{CH}_2=\text{CH}-\text{CO}-\text{NH}-$ ,  $\text{CH}_2=\text{C}(\text{Ph})-\text{CO}-\text{NH}-$ ,

10  $\text{CH}_2=\text{CH-O-}$ ,  $\text{CH}_2=\text{CH-OOC-}$ ,  $\text{Ph-CH=CH-}$ ,  $\text{CH}_2=\text{CH-Ph-}$ ,  $\text{CH}_2=\text{CH-Ph-O-}$ ,  
 $\text{CH}_2=\text{CH-Ph-OOC-}$ ,  $\text{R}^3\text{-Ph-CH=CH-COO-}$ ,  $\text{R}^2\text{-OOC-CH=CH-Ph-O-}$ ,  $\text{N-maleinimidyl}$ ,



wherein Y each independently represents hydrogen, chloro or methyl, R<sup>2</sup> is hydrogen or lower alkyl, R<sup>3</sup> is hydrogen or lower alkoxy, Ph- is phenyl and -Ph- is 1,4-phenylene.

Particularly preferred groups PG include  $\text{CH}_2=\text{CY}-$ ,  $\text{CH}_2=\text{CY}-\text{COO}-$ ,  $\text{CH}_2=\text{CH}-\text{O}-$ ,  $\text{CH}_2=\text{CH}-\text{OOC}-$ ,  $\text{CH}_2=\text{CH}-\text{Ph}-\text{O}-$ ,  $\text{CH}_2=\text{CH}-\text{Ph}-\text{OCO}-$ ,



wherein Y is hydrogen or methyl.

20 Most preferred groups PG include  $\text{CH}_2=\text{CY}-\text{COO}-$ ,  $\text{CH}_2=\text{CH}-\text{O}-$  and  $\text{CH}_2=\text{CH}-\text{OOC}-$ ,  
where  $\text{Y}$  is hydrogen or methyl.

25 It is understood that the term "lower alkoxy" includes straight chain and branched hydrocarbonoxy radicals having 1 to 6 carbon atoms, preferably 1 to 3 carbon atoms. Methoxy, ethoxy, propoxy and isopropoxy groups are especially preferred.

Preferred rings D<sup>1</sup>, D<sup>2</sup>, D<sup>3</sup>, D<sup>4</sup> and D<sup>5</sup> independently of each other are unsubstituted, 30 . . . . . saturated five- or six-membered alicyclic rings or six- or ten-membered aromatic rings, which are unsubstituted, mono- or poly-substituted by fluorine or chlorine or nitro or by

a straight chain or branched alkyl residue having 1-6 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent  $\text{CH}_2$  groups may independently be replaced by  $-\text{O}-$ ,  $-\text{CO}-\text{O}-$ ,  $-\text{O}-\text{CO}-$ ,  $-\text{NR}^2-\text{CO}-$ ,  $-\text{CO}-\text{NR}^2-$ ,  $-\text{NR}^2-\text{CO}-\text{O}-$ ,  $-\text{O}-\text{CO}-\text{NR}^2-$ ,  $-\text{CH}=\text{CH}-$ ,  $-\text{C}\equiv\text{C}-$ ,  $-\text{O}-\text{CO}-\text{O}-$ ,

5 wherein  $\text{R}^2$  represents hydrogen or lower alkyl.

Particularly preferred rings  $\text{D}^1$ ,  $\text{D}^2$ ,  $\text{D}^3$ ,  $\text{D}^4$  and  $\text{D}^5$  include unsubstituted cyclopentane-1,3-diyl, unsubstituted 1,3-dioxane-2,5-diyl, unsubstituted cyclohexane-1,4-diyl, unsubstituted naphtalene-2,6-diyl or 1,4-phenylene, which is unsubstituted, mono- or 10 poly-substituted by fluorine or chlorine or by a straight-chain or branched alkyl residue having 1-3 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent  $\text{CH}_2$  groups may independently be replaced by  $-\text{O}-$ ,  $-\text{CO}-\text{O}-$ ,  $-\text{O}-\text{CO}-$ ,  $-\text{CH}=\text{CH}-$ ,  $-\text{C}\equiv\text{C}-$ .

15 Most preferred rings  $\text{D}^1$ ,  $\text{D}^2$ ,  $\text{D}^3$ ,  $\text{D}^4$  and  $\text{D}^5$  are 1,4-phenylene, which is unsubstituted, mono- or poly-substituted by fluorine, chlorine, methyl, methoxy, acyl or  $-\text{CO}-\text{O}-\text{CH}_3$ .

Preferred "spacer units"  $\text{S}^1$  and  $\text{S}^2$  of the present invention include a single bond, or a 20 straight-chain or branched alkylene grouping, such as  $-(\text{CH}_2)_r-$ , and also  $-(\text{CH}_2)_r-\text{O}-(\text{CH}_2)_s-$ ,  $-(\text{CH}_2)_r-\text{CO}-\text{O}-(\text{CH}_2)_s-$ ,  $-(\text{CH}_2)_r-\text{O}-\text{CO}-(\text{CH}_2)_s-$ ,  $-(\text{CH}_2)_r-\text{NR}^2-\text{CO}-(\text{CH}_2)_s-$ ,  $-(\text{CH}_2)_r-\text{NR}^2-\text{CO}-\text{O}-(\text{CH}_2)_s-$ ,  $-(\text{CH}_2)_r-(\text{OCH}_2\text{CH}_2)_s-(\text{CH}_2)_t-$  25 wherein  $r$ ,  $s$  and  $t$  are each an integer from 1 to 20, the sum of  $r + s + t \leq 21$ , wherein  $\text{R}^2$  represents hydrogen or lower alkyl, and which are attached to the dichroic residue and the polymerizable group, respectively, such that heteroatoms are not directly 30 linked to each other.

Particularly preferred "spacer units"  $\text{S}^1$  and  $\text{S}^2$  include a single bond and a  $\text{C}_{1-14}$  straight-chain alkylene group, especially ethylene, propylene, butylene, pentylene, hexylene, heptylene, octylene, nonylene, decylene, undecylene, or dodecylene.

Preferred "spacer units"  $\text{S}^p$  of the present invention include a single bond, or a straight-chain or branched alkylene grouping, such as a  $\text{C}_{1-5}$  straight-chain alkylene 35 group, and also  $-(\text{CH}_2)_u-\text{O}-(\text{CH}_2)_v-$ ,  $-(\text{CH}_2)_u-\text{CO}-\text{O}-(\text{CH}_2)_v-$ ,  $-(\text{CH}_2)_u-\text{O}-\text{CO}-(\text{CH}_2)_v-$ ,

wherein  $u$  and  $v$  are each an integer from 1 to 4, the sum of  $u + v \leq 4$ .

Particularly preferred "spacer units"  $S_p$  include a single bond and a C<sub>1-5</sub> straight-chain alkylene group, especially ethylene, propylene, butylene or pentylene.

5

Preferred  $Z^1, Z^2, Z^3, Z^4$  and  $Z^5$  groups include a single covalent bond or a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted or poly-substituted by fluoro, having 1 to 8 carbon atoms, wherein one or more of the 10 non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-, -CO-O-, -O-CO-, -NR<sup>2</sup>-CO-, -CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-O-, -O-CO-NR<sup>2</sup>-, -CH=CH-, -C≡C-, -O-CO-O-, -CR<sup>2</sup>=C-CO-, wherein R<sup>2</sup> represents hydrogen or lower alkyl.

Particularly preferred  $Z^1, Z^2, Z^3, Z^4$  and  $Z^5$  groups include a single covalent bond or a straight-chain or branched alkylene residue, having 1 to 4 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -CH=CH-, -C≡C-, -O-CO-O-, -CR<sup>2</sup>=C-CO-, wherein R<sup>2</sup> represents hydrogen or lower alkyl.

20 It is most preferred that  $Z^1, Z^2, Z^3, Z^4$  and  $Z^5$  are each independently selected from a group consisting of a single covalent bond, -CO-O-, -O-CO-, -CH<sub>2</sub>-O- or -O-CH<sub>2</sub>-.

Preferred E groups include hydrogen, methyl, acetyl, acryloyl and methacryloyl.

25

Particularly preferred E groups include hydrogen, methyl and acetyl.

It is preferred that the sum of the integers  $m^1 + m^2$  is 0 or 1.

30

It is preferred that the sum of the integers  $q^1 + q^2 + q^3 + q^4$  is 0, 1, 2.

It is preferred that X<sup>1</sup> and X<sup>2</sup> when linked to 1,4-phenylene or 1,4-naphthylene each 35 independently represent a single covalent bond, -CO-O-, -O-CO-, -CH<sub>2</sub>-O-, -O-CH<sub>2</sub>-.

-NW-, -CH<sub>2</sub>-NW-, -NW-CH<sub>2</sub>-, -N=CR-, -CR=N-, -NW-CO- or -CO-NW-, and more preferably -CO-O-, -O-CO-, -CH<sub>2</sub>-O-, -O-CH<sub>2</sub>-, -NR- or -CH<sub>2</sub>-NR-, -NR-CH<sub>2</sub>-, -NR-CO- or -CO-NR-, wherein W and R have the meaning given above.

5 It is preferred that X<sup>1</sup> and X<sup>2</sup> when linked to a group of formula b), c) or d) each independently represent a single covalent bond, -CH<sub>2</sub>-CH<sub>2</sub>-, -O-CH<sub>2</sub>...<sup>(iv)</sup>, -NW-CH<sub>2</sub>...<sup>(iv)</sup>, -CH=CH-, -O-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>...<sup>(iv)</sup> or -NW-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>...<sup>(iv)</sup>, more preferably a single covalent bond, -CH<sub>2</sub>-CH<sub>2</sub>-, -O-CH<sub>2</sub>...<sup>(iv)</sup>, -NW-CH<sub>2</sub>...<sup>(iv)</sup>, -CH=CH-, and most preferably a single covalent bond or -CH<sub>2</sub>-CH<sub>2</sub>-, wherein W has the

10 meaning given above and the broken lines (iv) symbolize the linkage to the groups of formula b), c) or d).

It is preferred that X<sup>1</sup> and X<sup>2</sup> when linked to a group of formula e) or f) each independently represent -CH<sub>2</sub>-, -CO-, -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-, -O-CH<sub>2</sub>-CH<sub>2</sub>...<sup>(iv)</sup>, -NW-CH<sub>2</sub>-CH<sub>2</sub>...<sup>(iv)</sup>, -CH=CH-CH<sub>2</sub>...<sup>(iv)</sup>, -OCO-CH<sub>2</sub>...<sup>(iv)</sup> or -CH<sub>2</sub>-OCO...<sup>(iv)</sup>, and more preferably -CH<sub>2</sub>- or -CO-, wherein W has the meaning given above and the broken lines (iv) symbolize the linkage to the groups of formula e) or f).

20 It is preferred that V is selected from a group consisting of -CH<sub>2</sub>-CH<sub>2</sub>-, -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>- or -CH<sub>2</sub>-O-CH<sub>2</sub>-.

25 It is preferred that M is 1,4-phenylene, which is unsubstituted, monosubstituted by chlorine or -CH<sub>3</sub>, with the proviso that at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is optionally substituted 1,4-naphthylene.

It is especially preferred that M is unsubstituted 1,4-phenylene, with the proviso that at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is 1,4-naphthylene.

30 It is preferred that Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> and Ar<sup>4</sup> independently of each other are 1,4-phenylene or 1,4-naphthylene, which are unsubstituted, mono- or disubstituted by fluorine, chlorine, -OCH<sub>3</sub> or -CH<sub>3</sub>, with the proviso that at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is optionally substituted 1,4-naphthylene if G<sup>1</sup> and G<sup>2</sup> are optionally substituted 1,4-phenylene.

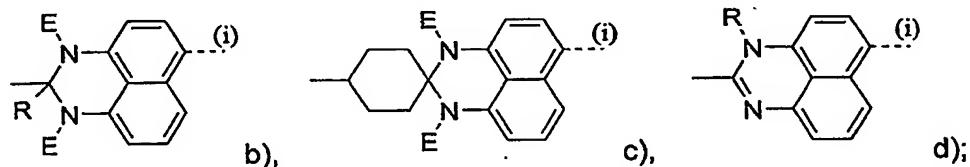
It is especially preferred that Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> and Ar<sup>4</sup> independently of each other are 1,4-phenylene, which is unsubstituted, mono- or disubstituted by chlorine or -CH<sub>3</sub> or unsubstituted 1,4-naphthylene, with the proviso that at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is unsubstituted 1,4-naphthylene if G<sup>1</sup> and G<sup>2</sup> are optionally substituted 1,4-phenylene.

5

It is preferred that G<sup>1</sup> and G<sup>2</sup> independently of each other are 1,4-phenylene or 1,4-naphthylene, which are unsubstituted, mono- or disubstituted by fluorine, chlorine, -OCH<sub>3</sub> or -CH<sub>3</sub>, preferably 1,4-phenylene, which is unsubstituted, mono- or disubstituted by chlorine or -CH<sub>3</sub> or unsubstituted 1,4-naphthylene; or a group of formula b), c) and d), preferably a group of formula b) and c), which are unsubstituted and wherein the broken lines (i) symbolize the linkage to the azo-binding group and R, E have the meaning given above

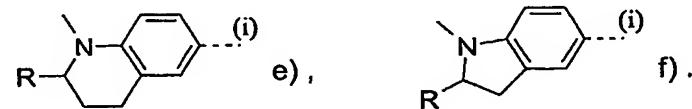
10

15



20

or a group of formula e) and f), which is unsubstituted and wherein the broken lines (i) symbolize the linkage to the azo-binding group and R represents hydrogen or lower alkyl

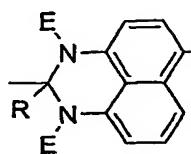


25

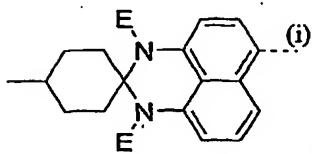
It is especially preferred that G<sup>1</sup> and G<sup>2</sup> independently of each other are 1,4-phenylene or 1,4-naphthylene, which are unsubstituted, mono- or disubstituted by fluorine, chlorine, -OCH<sub>3</sub> or -CH<sub>3</sub>, preferably 1,4-phenylene, which is unsubstituted, mono- or disubstituted by chlorine or -CH<sub>3</sub> or unsubstituted 1,4-naphthylene; or a group of formula b), c) and d), preferably a group of formula b) and c), which are unsubstituted and wherein the broken lines (i) symbolize the linkage to the azo-binding group

30

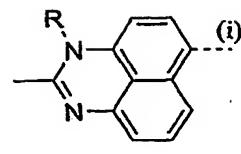
group and R, E have the meaning given above



b),



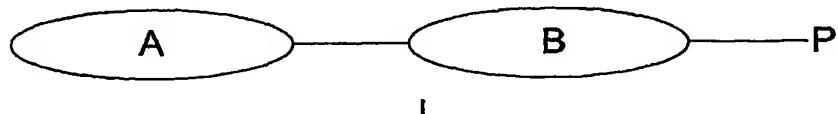
c),



d).

5

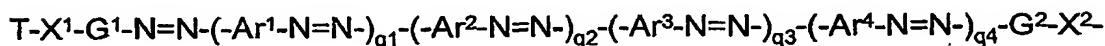
A further preferred embodiment of the present invention are dyes according to the general formula I:



10

wherein

A is a dichroic residue of general formula IIIa,



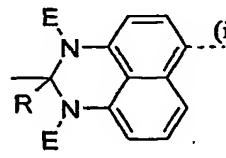
IIIa

15

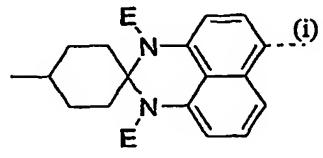
wherein

G<sup>1</sup> and G<sup>2</sup> independently of each other represent 1,4-phenylene, which is

20 unsubstituted, mono- or disubstituted by chlorine or -CH<sub>3</sub>, or unsubstituted 1,4-naphthylene; or a group of formula b) or c)



b),



c),

wherein the broken lines (i) symbolize the linkage to the azo-binding group; and wherein

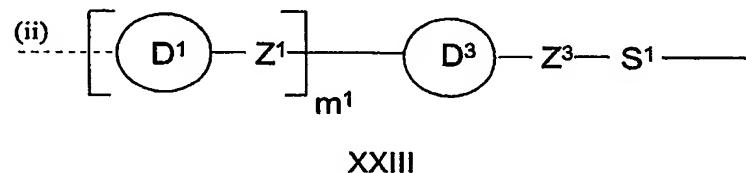
25

E independently represents hydrogen, methyl and acetyl;

R independently represents hydrogen, methyl, ethyl, propyl and isopropyl;

$X^1$  and  $X^2$  independently of each other represent a covalent bond,  $\text{CH}_2\text{-CH}_2$ -,  
-CO-O-, -O-CO-, -CH<sub>2</sub>-O-, -O-CH<sub>2</sub>-, -NR- or -CH<sub>2</sub>-NR-, -NR-CH<sub>2</sub>-, -NR-CO-  
or -CO-NR-, wherein R has the meaning given above;

5 B represents a group of substructure XXIII



wherein the broken line (ii) symbolizes the linkage to said dichroic residue;

10 and wherein

$\text{Ar}^1, \text{Ar}^2, \text{Ar}^3, \text{Ar}^4$  are independently of each other 1,4-phenylene, which is  
unsubstituted, mono- or disubstituted by chlorine or -CH<sub>3</sub>, or unsubstituted  
1,4-naphthylene, with the proviso that if G<sup>1</sup> and G<sup>2</sup> are optionally substituted  
1,4-phenylene at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is unsubstituted 1,4-  
naphthylene;

15

$q^1, q^2, q^3, q^4$  independently are 0 or 1, with the proviso that the sum of the integers  
 $q^1 + q^2 + q^3 + q^4 \leq 0, 1, \text{ or } 2$ ;

20

$\text{P}$  and  $\text{P}^1$  independently of each other represent hydrogen, halogeno, cyano, nitro or  
a polymerizable group PG, wherein PG includes  $\text{CH}_2=\text{CY-COO-}$ ,  $\text{CH}_2=\text{CH-O-}$   
and  $\text{CH}_2=\text{CH-OOC-}$ , wherein Y is hydrogen or methyl;

25

$\text{D}^1, \text{D}^3, \text{D}^4$  and  $\text{D}^5$  independently of each other represent 1,4-phenylene, which is  
unsubstituted, mono- or poly-substituted by fluorine, chlorine, methyl,  
methoxy, acyl or -CO-O-CH<sub>3</sub>;

30

$\text{Z}^1, \text{Z}^3, \text{Z}^4$  and  $\text{Z}^5$  independently of each other represent selected from a group  
consisting of a single covalent bond, -CO-O-, -O-CO-, -CH<sub>2</sub>-O- or -O-CH<sub>2</sub>-;

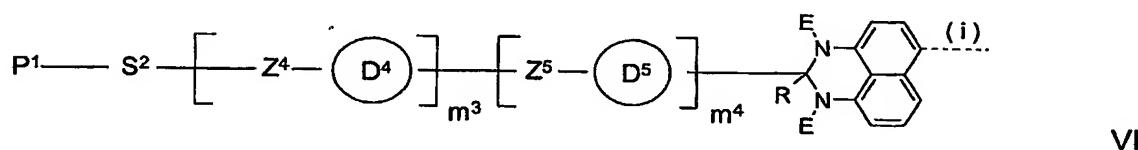
$\text{S}^1$  and  $\text{S}^2$  represent independently of each other a single bond, ethylene, propylene,  
butylene, pentylene, hexylene, heptylene, octylene, nonylene, decylene,  
undecylene, or dodecylene and

$m^1, m^3, m^4$  are independently of each other 0 or 1.

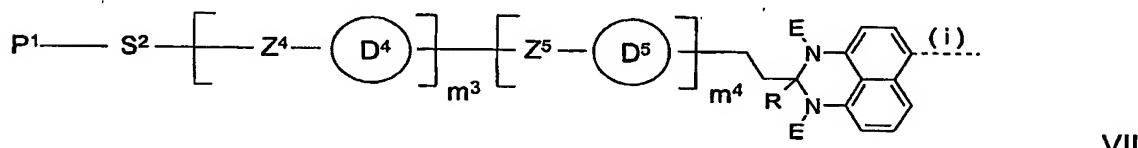
5 It is especially preferred that if  $G^1$  and  $G^2$  independently of each other represent a group of formula b) or c),  $X^1$  and  $X^2$  independently of each other represent a covalent bond or  $CH_2-CH_2-$  such that

T-X1-G1- preferably represents a group of substructures VI - IX

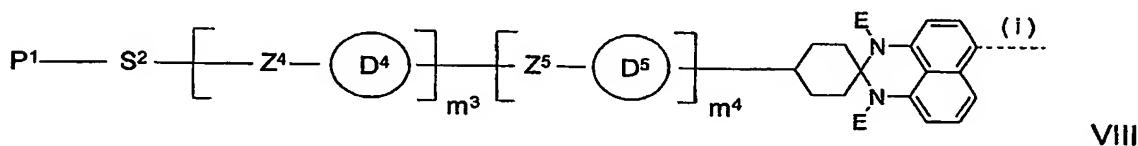
10



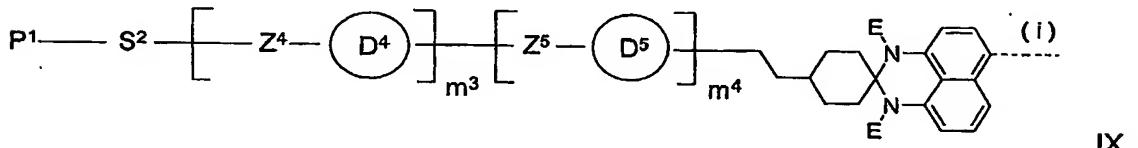
VI



VII



VIII

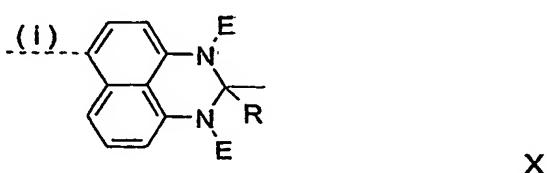


IX

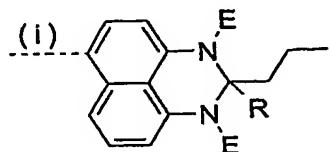
15

and

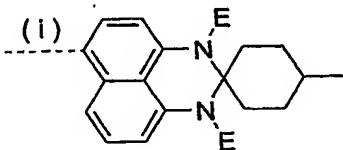
$-G^2-X2-$  preferably represents a group of substructures X - XIII



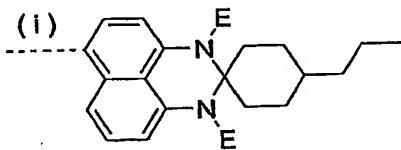
X



XI



XII



XIII

5 wherein the broken lines (i) symbolize the linkage to the azo-binding group;

The dichroic azo dyes of the invention may readily be prepared using methods well known to the person skilled in the art, such as those documented in Houben-Weyl, 10 *Methoden der Organischen Chemie*, Thieme-Verlag, Stuttgart.

For the preparation of dichroic polymer networks and gels, such as unstructured and structured polarizers, the dyes according to the present invention may be used singly. Alternatively, two or more of the dyes may also be used in combination. The number of 15 dyes of formula I present in the prevailing host may depend mainly on the spectral working range of the polymer and on the solubility of the dyes. Colored polarizers, which are absorbing in a selective spectral range, may preferably be achieved by the presence of one or two dyes in the host. Black polarizers, selectively absorbing in the whole visible range, may preferably be achieved by the presence of three or more 20 dyes.

In general, the total content of the dichroic dyes of formula I according to the invention in the respective host may depend on different factors such as solubility in the host and absorption ability (extinction) of the dyes involved. Preferred dye concentrations may 25 be in the range of 0.01 to 50 %wt, more preferably from 0.01 to 20 %wt, most preferably from 0.01 to 10 %wt.

It is also feasible to mix a dichroic dye of this invention with another dichroic or non-dichroic dye to use the resultant mixture as a dichroic dye of a desired color hue. No limitation is imposed in this concern.

5

The mesogenic, polymerizable mixtures containing at least one dichroic dye of this invention comprise at least one polymerizable liquid crystal (LCP). Polymerizable liquid crystals are well known to the skilled person and are described e.g. in EP 0331233, WO 95/24454, US 5,567,349, US 5,650,534, WO 00/04110, WO 00/07975,

10

WO 00/48985, WO 00/55110 and WO 00/63154. For adjustment of mesomorphic properties and suppression of crystallization, mixtures of two or more LCP components may also be used. The addition of isotropic compounds comprising two or more polymerizable groups, so called crosslinkers, may also be possible. Furthermore well known additives such as e.g. phenol derivatives for stabilization and photoinitiators such as e.g. Irgacure® may also be present in the mixture.

15

Preferred mixtures of the invention consequently comprise at least one dichroic dye according to the general formula I and at least one polymerizable liquid crystal and optionally additives, such as crosslinkers, stabilizers and photoinitiators.

20

Particularly preferred are mixtures comprising one to four dichroic dyes of formula I and at least one polymerizable liquid crystal compound comprising two polymerizable groups and optionally additives such as crosslinkers, stabilizers and photoinitiators.

25

The polymerizable liquid crystalline mixtures according to the present invention may further be formed into dichroic polymer networks and gels. Dichroic polymer networks (hereinafter called dichroic liquid crystalline polymer films (LCP films)) have been found to be of particular use and, thus, a further aspect the invention provides dichroic films formed from mixtures according to the present invention.

30

Such LCP films may readily be prepared by UV or thermal polymerization of the mixtures of the present invention. A film comprising a mixture according to the present invention is formed on a substrate, for example, by first preparing a solution of a mixture, which is subsequently applied to a support by different coating techniques, such as spincoating, miniscuscoating, wirecoating, slotcoating, offsetprinting,

35

flexoprinting, gravureprinting and inkjet-printing. After evaporation of the solvent, the obtained film is polymerized using UV light to give a cross-linked dichroic liquid crystal film of preferably 0.5 to 5 micrometer thickness. If required, such films may further be coated with other layers, such as e.g. protective layers for protection against oxygen,

5 UV irradiation or mechanical stress. Such films may be used in the manufacture of devices such as polarizers or optical filters.

Examples of substrates used in the preparation of dichroic LCP films may include transparent substrates, such as glass or plastic, including an orientation layer, which is

10 able to induce a uniform orientation to the mixture. Such orientation layers may include rubbed polyimide, or polyamide or preferably layers of photo-orientable materials. A well-suited kind of photo-orientable orientation layers are Linearly Photopolymerizable Polymers (LPP), also sometimes referred to as Photooriented Polymer Networks (PPN). Backgrounds and manufacturing of such orientation layers are disclosed in, for

15 example, US 5,389,698, US 5,838,407, US 5,602,661, US 6,160,597, US 6,144,428, US 6,300,991 all of the applicant. Using LPP layers, segments (pixels) of locally varying orientation may be formed. Thus, not only uniformly aligned dichroic LCP layers but also structured complex orientation patterns within the dichroic LCP layers may be produced. Furthermore multilayer systems formed from stacks of alternating

20 LPP and LCP layers, wherein at least one of the LCP layers is a dichroic LCP layer are feasible. Such layers or stacks of layers may additionally be covered by other well known functional layers, such as, e.g. protecting layers against oxygen or humidity or layers for protection against ultraviolet radiation.

25 Recently it has been shown, e.g. in WO 99/64924, that photo-orienting materials like LPPs may also be able to orient liquid crystals, such as LCPs, if they are admixed to the mixture to be oriented prior to illumination with polarized light. In this way, orientation layers and LCP layers need not be formed separately. Thus, an analogous preparation of a dichroic LCP film using an inventive mixture, which in addition

30 contains a photo-orientable material, is also be possible.

The dichroic mixtures and films of the present invention may be used to prepare electro-optical and optical devices including security devices. A further aspect of the invention therefore provides an electro-optical or optical component or a security

35 device comprising a dichroic liquid crystalline polymer film formed from a mixture of the

invention. Examples of optical or electro-optical components may include structured or unstructured optical filters, polarizers, etc. Security or authentication devices may for instance be used to safeguard banknotes, credit cards, securities, identity cards and the like against forgery and copying.

5

In another aspect, the invention provides an orientation layer containing at least one dichroic dyes according to formula I. Such orientation layers, which are able to induce a uniform orientation to a liquid crystalline mixture, may include rubbed polyimide, or polyamide or preferably layers of photo-orientable materials. Such dyed orientation 10 layers can be used in the manufacture of optical or electro-optical components, which may include structured or unstructured optical filters, polarizers or elements of security devices.

15 The following non-limiting examples further describe the present invention. Variations on these falling within the scope of the invention will be apparent to a person skilled in the art.

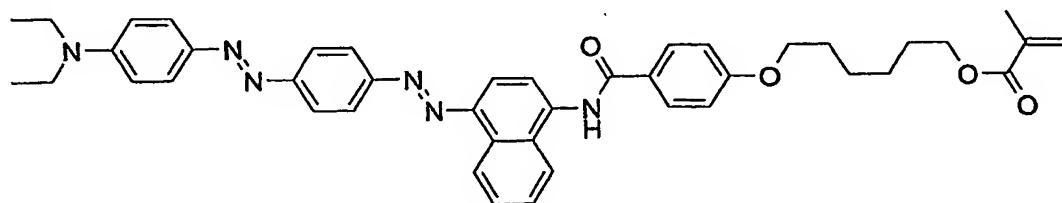
Following abbreviations are used:

20 DMAP 4-Dimethylaminopyridine  
DMF N, N-Dimethylformamide  
THF Tetrahydrofuran

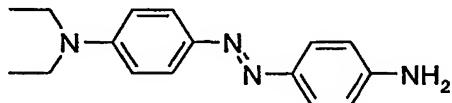
#### EXAMPLE 1

25

Preparation of 6-[4-[(4-[(E)-(4-[(E)-[4-(diethylamino)phenyl]diazenyl]phenyl)-diazenyl]-1-naphthyl]amino)carbonyl]phenoxy]hexyl 2-methylacrylate:



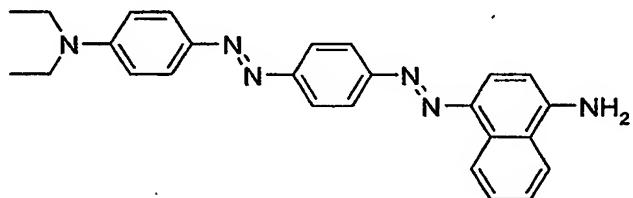
1) *N*-{4-[*(E*)-(4-Aminophenyl)diazenyl]phenyl}-*N,N*-diethylamine



56.7 g of *N,N*-diethyl-4-[*(E*)-(4-nitrophenyl)diazenyl]aniline (0.19 M) were slurried in 270 ml of methanol at 60 °C and a solution of 147 g of sodium hydrogen

5 sulfide-1-hydrate (1.98 M) in 150 ml of water was added cautiously. Upon addition, the former thick suspension became more liquid and the reaction mixture boiled heavily. When addition was complete, it was stirred for 0.5 h at 60 °C, then cooled to room temperature and 400 ml of ice-water were added. The resulting suspension was stirred for 10 min., thereafter the solids were filtered off and washed several times with warm 10 water, giving almost pure *N*-{4-[*(E*)-(4-aminophenyl)diazenyl]phenyl}-*N,N*-diethylamine as red-orange solid, used without drying in the next step.

15 2) 4-[*(E*)-(4-[*(E*)-[4-(Diethylamino)phenyl]diazenyl]phenyl)diazenyl]naphthalen-1-amine

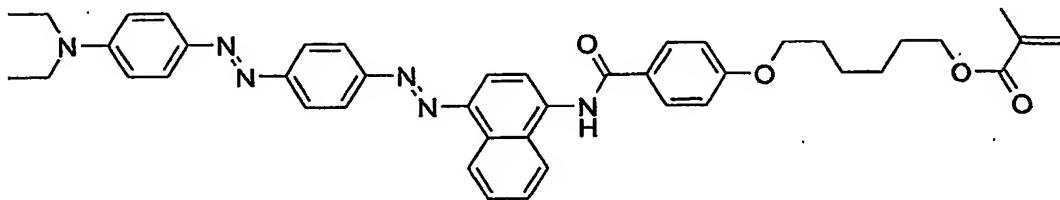


15 The still wet solid of *N*-{4-[*(E*)-(4-aminophenyl)diazenyl]phenyl}-*N,N*-diethylamine was slurried in 50 ml of hydrochloric acid 37 % and 110 ml of water for 0.5 h at 15-20 °C, then it was cooled to 0-5 °C and a solution of 10.7 g of sodium nitrite (0.155 M) in 50 ml of water was added. During the addition, ice was added to the reaction mixture 20 to maintain the temperature between 0-5 °C. When the addition was complete, the mixture was stirred for 0.5 h at this temperature, then the excess of nitrite was destroyed by addition of ca. 1 g of amidosulfonic acid and the mixture stirred for further 5 min. The reaction mixture was filtered, the cake washed with water and the red filtrate was added to a mechanically stirred, cooled (ca. 15 °C) solution of 22.2 g of 25 naphthylamine (0.155 M) in 150 ml of ethanol. When addition was complete, the coupling mixture was stirred for 1 h at 20-30 °C, then it was made alkaline by addition of ca. 40 ml of ammonia solution 26 %. The dark precipitate was filtered off, washed several times with water, followed by 200 ml of methanol twice and dried. The resulting dark powder was boiled for 1 h in 300 ml of toluene and 300 ml of hexanes, the formed

suspension cooled to 0 °C and the solid was filtered off, washed twice with in all 250 ml of hexanes (150 ml and 100 ml) and dried to give 61.02 g (0.144 M, 76 % over both steps) of 4-[(*E*)-(4-[(*E*)-[4-(diethylamino)phenyl]diazenyl]phenyl)-diazenyl]naphthalen-1-amine as red powder.

5

3) 6-{4-[(4-[(*E*)-(4-[(*E*)-[4-(diethylamino)phenyl]diazenyl]phenyl)-diazenyl]-1-naphthyl]amino)carbonyl]phenoxy}hexyl 2-methylacrylate

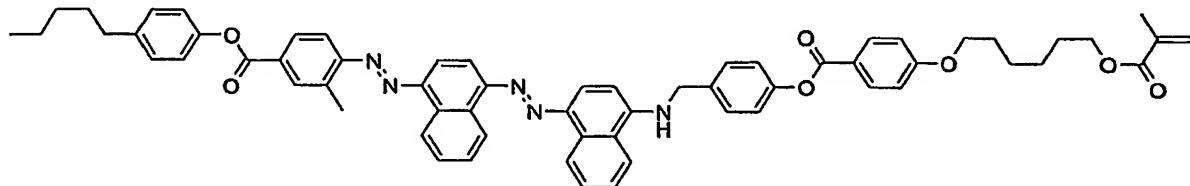


2.11 g of 4-[(*E*)-(4-[(*E*)-[4-(diethylamino)phenyl]diazenyl]phenyl)-diazenyl]-naphthalen-1-amine (5.0 mM) were dissolved in 10 ml of pyridine at 5 °C and a solution of 1.70 g of 4-{{6-(methacryloyloxy)hexyl}oxy}benzoic acid chloride (5.25 mM) in 2 ml of pyridine was added dropwise. When addition was complete, it was stirred for 0.5 h at room temperature. To the reaction mixture were added 100 ml of dichloromethane and 10 g of celite filter aid. The resulting suspension was stirred for 15 min., filtered, the cake was washed with dichloromethane and the filtrate was reduced to approx. 50 ml. To this mixture was then added 200 ml of methanol dropwise at 0 °C. The precipitated crystals were filtered off, washed with cooled methanol and dried to give 3.23 g (4.54 mM, 93%) of 6-{4-[(4-[(*E*)-(4-[(*E*)-[4-(diethylamino)phenyl]diazenyl]phenyl)-diazenyl]-1-naphthyl]amino)carbonyl]phenoxy}hexyl 2-methylacrylate as red powder;  $\lambda_{\text{max}} = 504 \text{ nm}$ ,  $\epsilon = 45000$  (THF). For total purification, the dye might be flash chromatographed on silica gel.

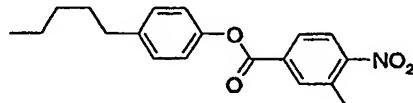
## EXAMPLE 2

Preparation of 4-pentylphenyl 4-[*(E*)-(4-[(*E*)-[4-[(4-[(6-(methacryloyloxy)hexyl)-oxy]benzoyl)oxy]benzyl]amino)-1-naphthyl]diazenyl]-1-naphthyl]diazenyl]-3-methylbenzoate:

5



1) 4-Pentylphenyl 3-methyl-4-nitrobenzoate

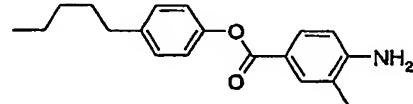


10 9.50 g of 3-methyl-4-nitrobenzoic acid (52.5 mM) were dissolved in 400 ml of THF and 53.1 g of triethylamine (525.0 mM). The solution was cooled to -30 °C and 6.01 g of methansulfochloride (52.5 mM) were added. The mixture was stirred for 1 h at this temperature, then a solution of 8.21 g of 4-pentylphenol (50.0 mM) in 20 ml of THF was added, followed by 100 mg of DMAP. The reaction mixture was stirred for 1 h at

15 -30 °C and was allowed to warm to room temperature while stirred overnight. The next day, the mixture was filtered through a pad of celite filter aid, evaporated to dryness. The residue was flash chromatographed on silica gel using cyclohexane / ethyl acetate : 1 / 1 as eluent to afford 4-pentylphenyl 3-methyl-4-nitrobenzoate as a yellow oil. Yield: 16.2 g (49.5 mM, 99 %).

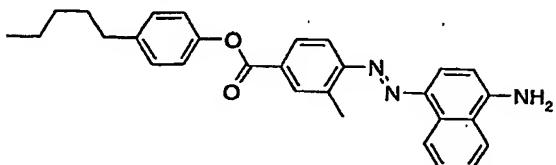
20

2) 4-Pentylphenyl 4-amino-3-methylbenzoate



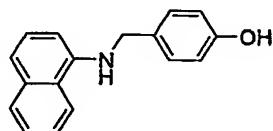
25 16.2 g of 4-pentylphenyl 3-methyl-4-nitrobenzoate (49.5 mM) were dissolved in 250 ml of ethyl acetate and 1.5 g of Pd/C (10 %) were added. This mixture was hydrogenated at normal pressure. When the theoretical amount of hydrogen was consumed, it was filtered through a pad of celite filter aid and evaporated to dryness to give 14.5 g. (49.0 mM, 98 %) of 4-pentylphenyl 4-amino-3-methylbenzoate as beige crystals.

3) 4-Pentylphenyl 4-[(*E*)-(4-amino-1-naphthyl)diazenyl]-3-methylbenzoate



5.95 g of 4-pentylphenyl 4-amino-3-methylbenzoate (20.0 mM) were suspended in 100 ml of DMF and 20 ml of hydrochloric acid 37 %. The suspension was cooled to 5 °C and a solution of 1.40 g of sodium nitrite (20.0 mM) in 5 ml of water was added dropwise. When the addition was complete, the mixture was stirred for 0.5 h at room temperature, then it was cooled to 5 °C and the excess of nitrite was destroyed by addition of ca. 0.1 g of amidosulfonic acid and the mixture stirred for further 5 min. The reaction mixture was poured onto 500 ml of icewater. To this solution was added a solution of 2.86 g of 1-naphthylamine (20.0 mM) in 10 ml of DMF. When addition was complete, the coupling mixture was stirred for 0.5 h at 5 °C, then it was made alkaline by addition of ca. 20 ml of ammonia solution 26 %. The dark precipitate was filtered off, washed several times with water and dried. The resulting red-brown powder was boiled for 1 h in 150 ml of methanol, the formed suspension was cooled to room temperature and the solid was filtered off, washed with methanol and dried to give 7.19 g (15.9 mM, 80 %) of 4-pentylphenyl 4-[(*E*)-(4-amino-1-naphthyl)diazenyl]-3-methylbenzoate as red crystals.

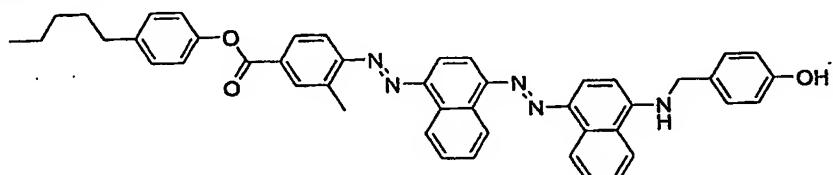
4) 4-[(1-Naphthylamino)methyl]phenol



20 28.64 g of 1-naphthylamine (0.20 mM) and 24.42 g of 4-hydroxybenzaldehyd (0.20 M) were dissolved in 200 ml of iso-propanol and a catalytical amount of p-toluenesulfonic acid (approx 0.1 g) was added. The mixture was stirred at room temperature overnight. The resulting suspension was then cooled to 5 °C and 7.57 g of sodium borohydride (0.20 M) were added portionwise at this temperature. It was stirred overnight, allowing the mixture to warm to room temperature. The next day, approx. 12 ml of glacial acetic acid were added dropwise, followed by 400 ml of water. The resulting solid was filtered off, washed with water and dried to give 46.8 g of beige crystals. As the reaction was not complete, these 46.8 g of beige crystals were dissolved in 100 ml of THF and 300 ml of MeOH. The solution was cooled to 5 °C and additional 7.10 g of sodium

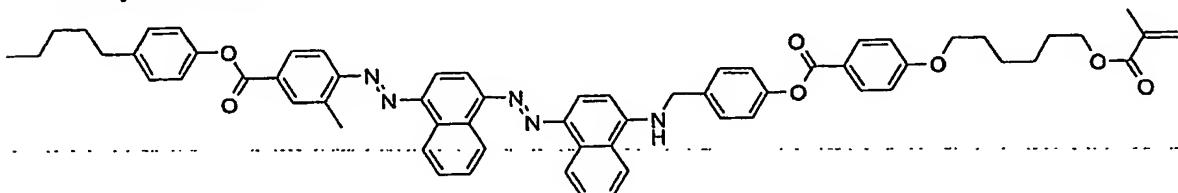
borohydride (0.187 M) were added in small portions. When addition was complete, it was stirred for 0.5 h at 10 °C, then treated with approx. 12 ml of glacial acetic acid. Approximately 2/3 of the solvent were evaporated off and the residue was diluted with 300 ml of water. The resulting solid was filtered off, washed with water and dried to give 45.74 g (0.184 M, 92 %) of pure 4-[(1-naphthylamino)methyl]phenol as beige 5 crystals.

5) 4-Pentylphenyl 4-{{(E)}-[4-((E)-{4-[(4-hydroxybenzyl)amino]-1-naphthyl}-diazenyl)-1-naphthyl]diazenyl}-3-methylbenzoate



10 2.26 g of 4-pentylphenyl 4-[(E)-(4-amino-1-naphthyl)diazenyl]-3-methylbenzoate (5.00 mM) were dissolved in 20 ml of pyridine and 120 ml of glacial acetic acid. The solution was cooled to 3 °C and a solution of 0.35 g of sodium nitrite (5.00 mM) in 3 ml of water was added dropwise. When the addition was complete, the mixture was 15 stirred for 0.5 h at 3 °C, then the excess of nitrite was destroyed by addition of ca. 0.1 g of amidosulfonic acid and the mixture stirred for further 5 min. To this solution 20 was added a solution of 1.25 g of 4-[(1-naphthylamino)methyl]phenol (5.00 mM) in 5 ml of DMF at 3 °C. When addition was complete, the coupling mixture was stirred for 0.5 h at 3 °C, then 1 h at room temperature. The reaction mixture was poured onto icewater and the dark precipitate was filtered off, washed several times with water and dried to give 3.45 g (4.85 mM, 97 %) of 4-pentylphenyl 4-{{(E)}-[4-((E)-{4-[(4-hydroxybenzyl)-amino]-1-naphthyl}-diazenyl)-1-naphthyl]diazenyl}-3-methylbenzoate as dark crystals.

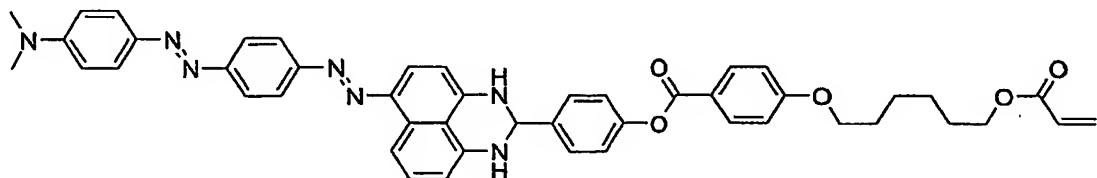
25) 6) 4-Pentylphenyl 4-[(E)-(4-((E)-[4-({4-[(4-[[6-(methacryloyloxy)hexyl]oxy)-benzoyl]oxy]benzyl}amino)-1-naphthyl]diazenyl)-1-naphthyl]diazenyl]-3-methylbenzoate



0.68 g of 4-[(6-(methacryloyloxy)hexyl)oxy]benzoic acid (2.20 mM) were dissolved in 20 ml of THF and 2.22 g of triethylamine (22.00 mM). The solution was cooled to -30 °C and 0.25 ml of methansulfonylchloride (2.20 mM) were added. The mixture was stirred for 1 h at this temperature, then 1.42 g of 4-pentylphenyl 4-[(E)-4-((E)-4-[(4-hydroxybenzyl)-amino]1-naphthyl)-diazenyl]-1-naphthyl]diazenyl]-3-methylbenzoate (2.00 mM) were added at once, followed by 50 mg of DMAP. The reaction mixture was stirred for 1 h at -30 °C and was allowed to warm to room temperature while stirred overnight. The next day, 10 g of celite filter aid were added and the resulting suspension was stirred for 15 min., filtered, the cake was washed with THF and the filtrate was evaporated to dryness. The residue was dissolved in 10 ml of dichloromethane and to this solution was then added approx. 30 ml of methanol dropwise at 0 °C. The precipitated crystals were filtered off, washed with cooled methanol and dried to give 1.60 g (1.60 mM, 80 %) of 4-pentylphenyl 4-[(E)-4-((E)-4-[(4-[(6-(methacryloyloxy)hexyl)oxy]benzoyl)oxy]benzyl)amino]-1-naphthyl]-diazenyl]-1-naphthyl]diazenyl]-3-methylbenzoate as dark crystals;  $\lambda_{\text{max}} = 571 \text{ nm}$ ,  $\epsilon = 35000$  (THF). For total purification, the dye might be flash chromatographed on silica gel.

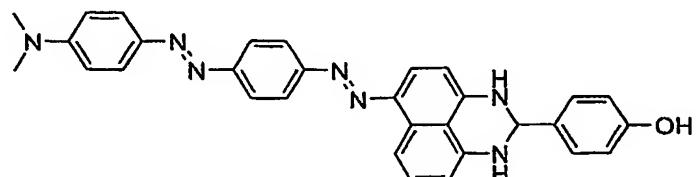
20 EXAMPLE 3

Preparation of 4-[(E)-(4-[(E)-4-(dimethylamino)phenyl]diazenyl]phenyl)diazenyl]-2,3-dihydro-1H-perimidin-2-yl]phenyl 4-[(6-(acryloyloxy)hexyl)oxy]-benzoate:



25

- 1) 4-[(E)-(4-[(E)-4-(dimethylamino)phenyl]diazenyl]phenyl)diazenyl]-2,3-dihydro-1H-perimidin-2-yl]phenol



A mixture comprising 1.58 g of 1,8-diaminonaphthalene (10.00 mM), 1.22 g of 4-hydroxybenzaldehyde (10.00 mM) and 20 ml of ethanol was stirred and refluxed for 1 h.

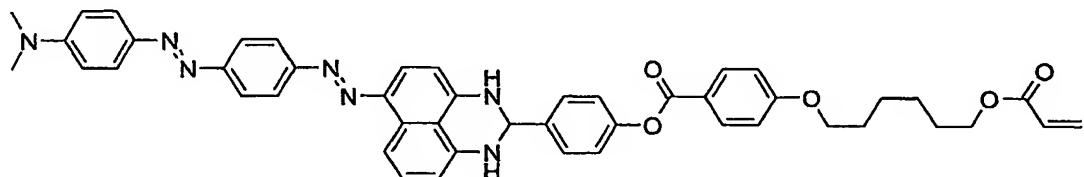
Meanwhile, 4-N,N-dimethylazodianiline was diazotized as described in the following:

5 2.40 g of 4-N,N-Dimethylazodianiline (10.00 mM) were dissolved in 10 ml of DMF. 6 ml of HCl 37 % and 15 ml of water were added and the mixture was cooled to 0 °C and treated dropwise with a solution of 0.69 g of sodium nitrite (10.00 mM) in 5 ml of water. When addition was complete, the obtained dark mixture was further stirred at 0 °C for 2 h, then it was ready for the coupling reaction.

10 For the coupling reaction, the refluxed mixture containing 1,8-diaminonaphthalene and 4-hydroxybenzaldehyde was cooled to 0 °C and the diazo-solution was added dropwise. After complete addition (within 30 min), the reaction mixture was stirred for further 30 min. between 0° and 10 °C, then cooled to 0 °C. At this temperature a solution of 7.0 g of potassium acetate in 25 ml of water was added dropwise. After 15 complete addition (within 30 min) the reaction mixture was further stirred at 0 °C for 1 h and then at room temperature for 30 min. The occurred dark precipitate was filtered off, washed with water (3 x 100 ml) and dried to give 4.90 g (9.60 mM, 96 %) of 4-{6-[(E)-(4-{(E)-[4-(dimethylamino)phenyl]diazenyl}phenyl)diazenyl]-2,3-dihydro-1H-perimidin-2-yl}-phenol as a dark powder.

20

2) 4-{6-[(E)-(4-{(E)-[4-(dimethylamino)phenyl]diazenyl}phenyl)diazenyl]-2,3-dihydro-1H-perimidin-2-yl}phenyl 4-{[6-(acryloyloxy)hexyl]oxy}benzoate



25 To an ice cooled solution of 1.47 g of 4-{[6-(acryloyloxy)hexyl]oxy}benzoic acid (5.00 mM) and 0.24 g of DMAP (2.00 mM) in 20 ml of dichloromethane, was added a solution of 1.03 g of DCC (5.00 mM) in 10 ml of dichloromethane dropwise. After complete addition (within 15 min) stirring was continued at 0 °C for further 90 min., then 2.57 g of 4-{6-[(E)-(4-{(E)-[4-(dimethylamino)phenyl]diazenyl}phenyl)diazenyl]-2,3-dihydro-1H-perimidin-2-yl}-phenol (5.00 mM) were added to the reaction mixture which is further stirred for 3 h at room temperature. The obtained dark-brown mixture was filtered through a pad of 20 g of celite filter aid, the cake was washed with 60 ml of dichloromethane and the filtrate was concentrated to approximately 1/3, followed by

30

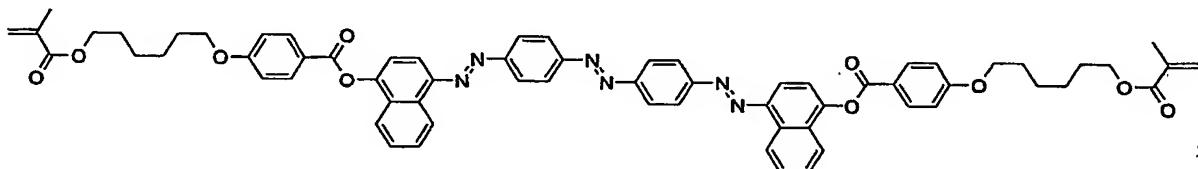
precipitation with cyclohexane/hexane 50 ml / 50 ml. The obtained brown precipitate was filtered off, washed with 10 ml of hexane and dried to give 3.80 g (4.80 mM, 96 %) of 4-{[*(E*)-(4-{[*(E*)-[4-(dimethylamino)phenyl]diazenyl}phenyl)diazenyl]-2,3-dihydro-1*H*-perimidin-2-yl}phenyl 4-{[6-(acryloyloxy)hexyl]oxy}benzoate dark-brown powder;

5  $\lambda_{\text{max}} = 547 \text{ nm}$ ,  $\epsilon = 53000$  (THF). For total purification, the dye might be flash chromatographed on silica gel.

#### EXAMPLE 4

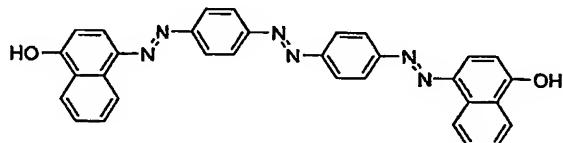
10

Preparation of Bis{4-[(*E*)-(4-{[6-(methacryloyloxy)hexyl]oxy}benzoyl)oxy]-1-naphthyl}diazenyl)phenyl}-(*E*)-diazene:



15

1) Bis{4-[(*E*)-(4-hydroxy-1-naphthyl)diazenyl]phenyl}-(*E*)-diazene



20

1.06 g of 4-[(*E*)-(4-aminophenyl)diazenyl]phenylamine (5.00 mM) were dissolved in 15 ml of water and 3 ml of hydrochloric acid 37 %. The red solution was cooled to 5 °C and a solution of 0.70 g of sodium nitrite (10.00 mM) in 5 ml of water was added

dropwise at this temperature. When the addition was complete, the mixture was stirred

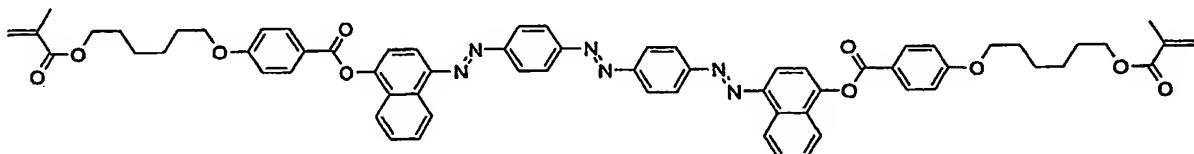
for 1h at 5 °C and then the excess of nitrite was destroyed by addition of ca. 0.1 g of urea and the mixture stirred for further 5 min. To the reaction mixture was added a solution of 1.44 g of 1-naphthol (10.00 mM), 0.6 g of sodium hydroxide and 2.0 g of potassium acetate in 10 ml of water. When addition was complete, the pH was

25

adjusted to pH  $\approx$  7 by addition of soda and the coupling mixture was stirred for 2 h between 0-10 °C. Then it was made slightly acidic by addition of glacial acetic acid and the precipitate was filtered off, washed several times with water and dried. The resulting orange powder was boiled for 1 h in 50 ml of dichloromethane, cooled to room temperature and the solid was filtered off, washed with dichloromethane and

dried to give 2.20 g (4.20 mM, 84 %) of bis{4-[(*E*)-(4-hydroxy-1-naphthyl)diazenyl]-phenyl}-(*E*)-diazene as orange crystals.

2) Bis{4-((E)-{4-[(4-{[6-(methacryloyloxy)hexyl]oxy}benzoyl)oxy]-1-naphthyl}diazenyl)phenyl}-{(E)-diazenyl}



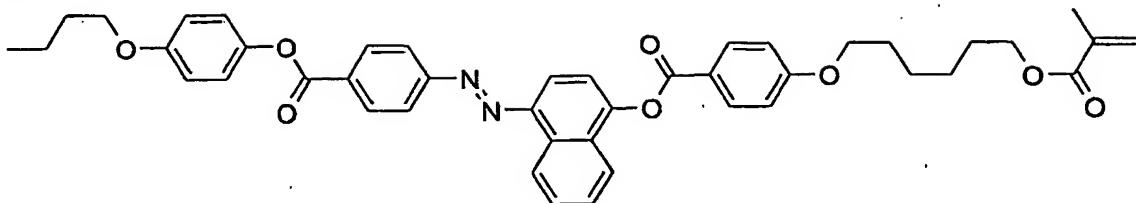
1.28 g of 4-{{[6-(methacryloyloxy)hexyl]oxy}benzoic acid (4.20 mM) were dissolved in 30 ml of THF and 4.00 g of triethylamine (40.00 mM). The solution was cooled to -30 °C and 0.48 g of methansulfonylchloride (4.20 mM) were added. The mixture was stirred for 0.5 h at this temperature, then 1.04 g of bis{4-[(E)-(4-hydroxy-1-naphthyl)diazenyl]phenyl}-(E)-diazene (2.00 mM) were added at once, followed by 50 mg of DMAP. The reaction mixture was stirred for 1 h at -30 °C and was allowed to warm to room temperature while stirred overnight. The next day, the reaction mixture was poured onto 200 ml of water, the resulting suspension was stirred for 10 min. and the solids were filtered off, washed with water and dried. The resulting orange powder was boiled for 1 h in 25 ml of ethanol, cooled to room temperature and the solid was filtered off, washed with ethanol and dried to give 1.60 g (1.46 mM, 73 %) of bis{4-[(E)-{4-[(4-{{[6-(methacryloyloxy)hexyl]oxy}benzoyl}oxy]-1-naphthyl}diazenyl]phenyl}-(E)-diazene as orange crystals;  $\lambda_{\text{max}} = 428 \text{ nm}$ ,  $\epsilon = 52000$  (THF).

20

### EXAMPLES 5-23

25 The structural formulae and UV-data ( $\lambda_{\text{max}}$ - and  $\epsilon$ -values; in THF, if not otherwise indicated) of further dichroic dyes according to the invention, synthesized using similar methods as described in examples 1-4, will hereinafter be given.

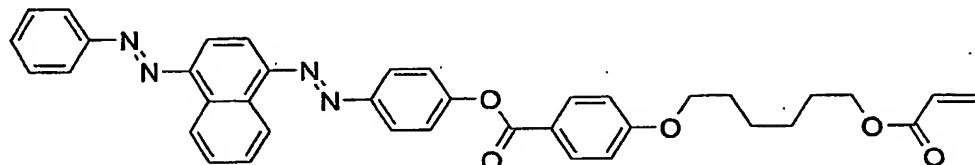
EXAMPLE 5



$\epsilon = 21000, \lambda_{\max} = 392 \text{ nm}$

5

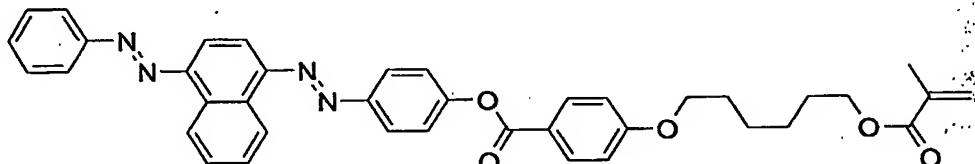
EXAMPLE 6



$\epsilon = 44000, \lambda_{\max} = 420 \text{ nm} (\text{CH}_2\text{Cl}_2)$

10

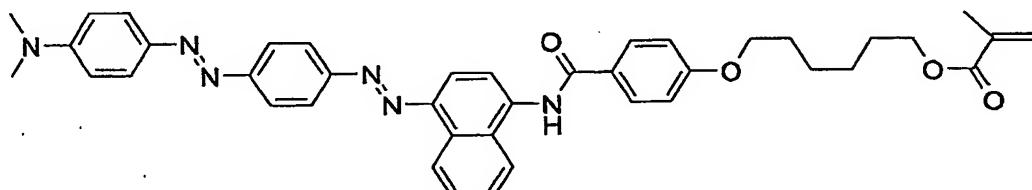
EXAMPLE 7



$\epsilon = 43000, \lambda_{\max} = 420 \text{ nm} (\text{CH}_2\text{Cl}_2)$

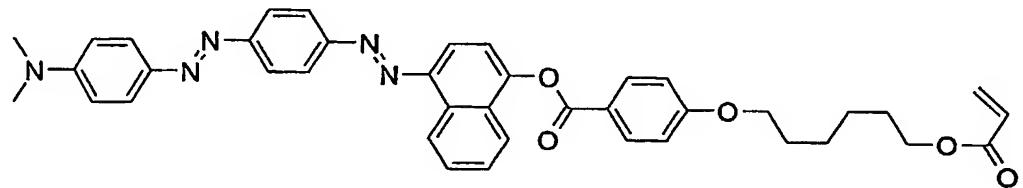
15

EXAMPLE 8



$\epsilon = 44000, \lambda_{\max} = 488 \text{ nm}$

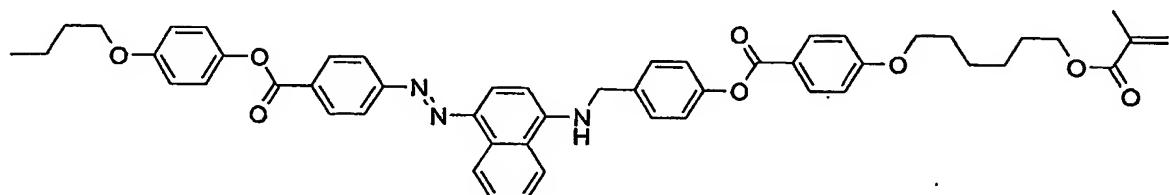
**EXAMPLE 9**



$\epsilon = 41000, \lambda_{\max} = 483 \text{ nm}$

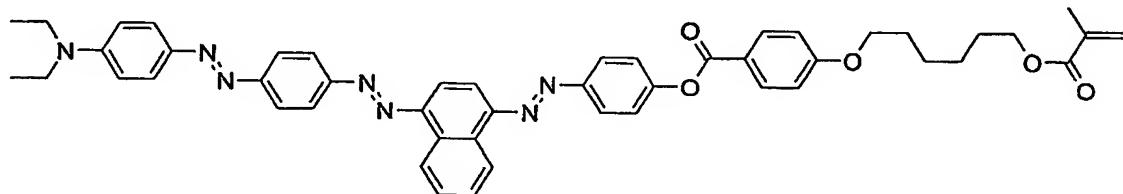
5

**EXAMPLE 10**



$\epsilon = 33000, \lambda_{\max} = 486 \text{ nm}$

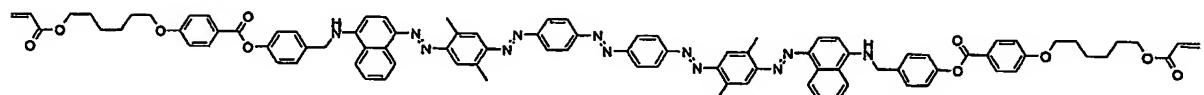
**EXAMPLE 11**



10

$\epsilon = 51000, \lambda_{\max} = 523 \text{ nm}$

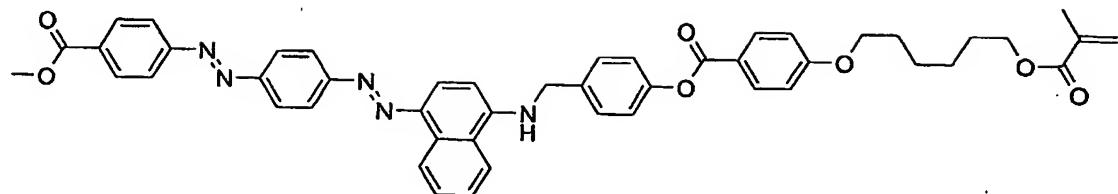
**EXAMPLE 12**



15

$\epsilon = 63000, \lambda_{\max} = 546 \text{ nm}$

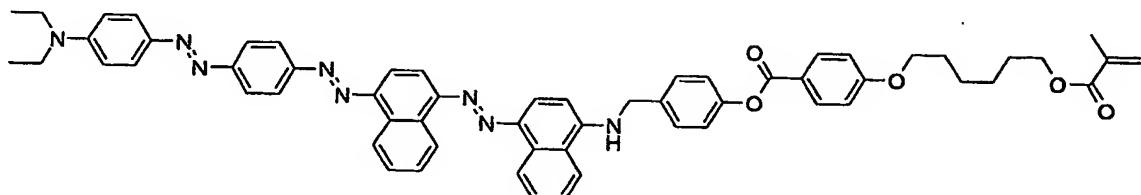
EXAMPLE 13



$\epsilon = 39000, \lambda_{\max} = 532 \text{ nm}$

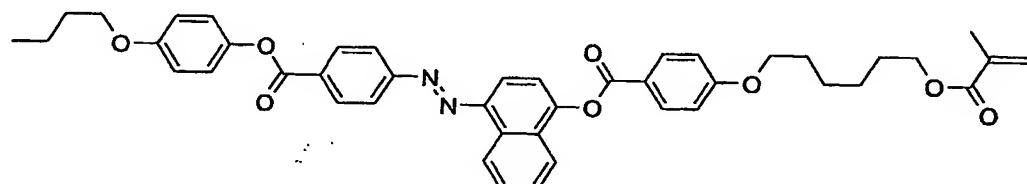
5

EXAMPLE 14



$\epsilon = 55000, \lambda_{\max} = 579 \text{ nm}$

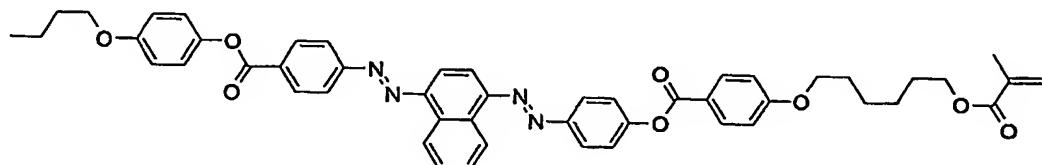
EXAMPLE 15



10

$\epsilon = 21000, \lambda_{\max} = 392 \text{ nm}$

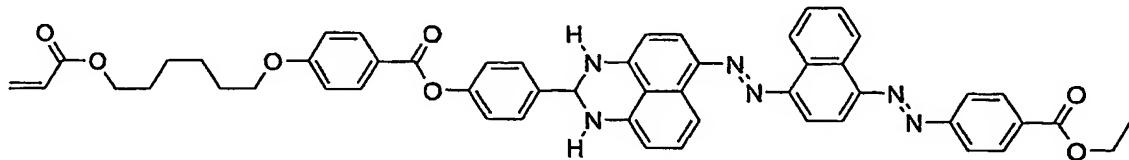
EXAMPLE 16



15

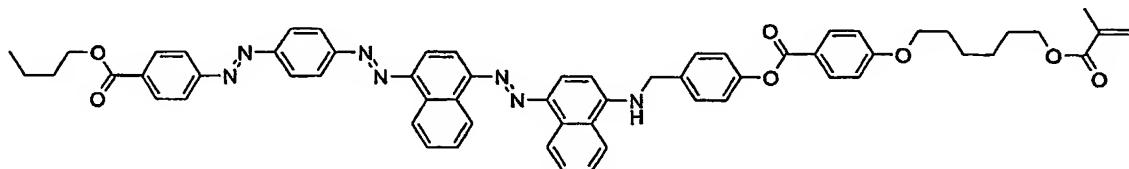
$\epsilon = 28000, \lambda_{\max} = 432 \text{ nm}$

**EXAMPLE 17**



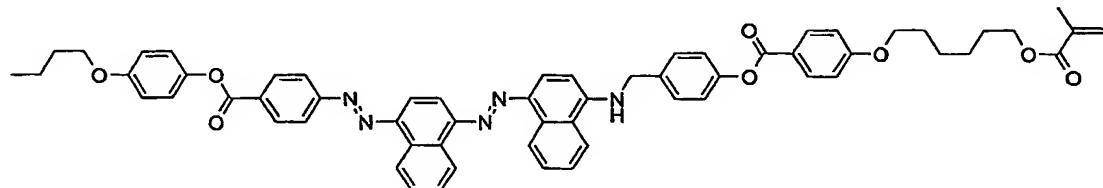
$\epsilon = 32000, \lambda_{\max} = 615 \text{ nm}$

**5      EXAMPLE 18**



$\epsilon = 39000, \lambda_{\max} = 583 \text{ nm}$

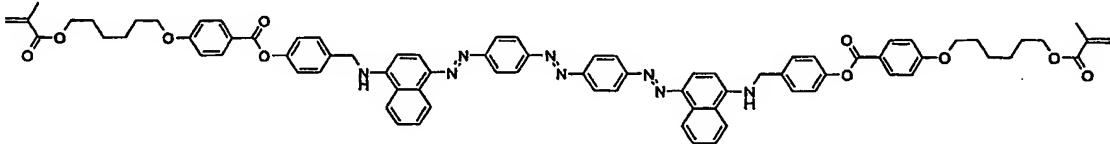
**EXAMPLE 19**



**10**

$\epsilon = 37000, \lambda_{\max} = 576 \text{ nm}$

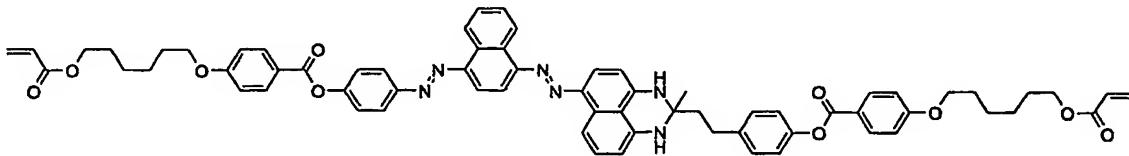
**EXAMPLE 20**



**15**

$\epsilon = 75000, \lambda_{\max} = 559 \text{ nm}$

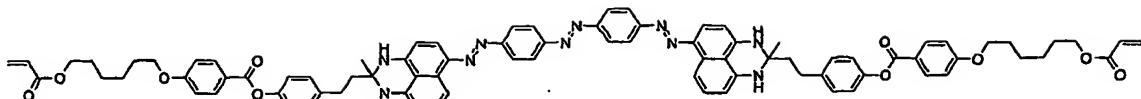
**EXAMPLE 21**



$\epsilon = 26000, \lambda_{\max} = 605 \text{ nm}$

**20**

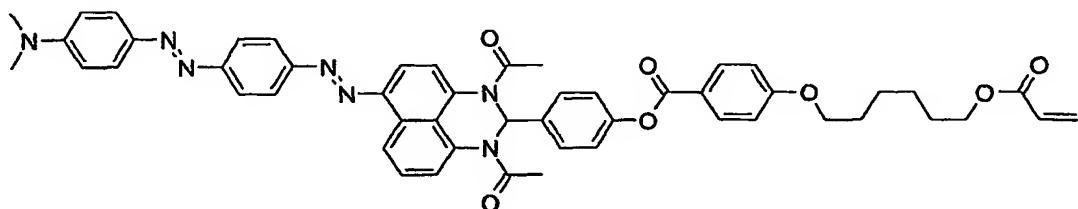
**EXAMPLE 22**



$\epsilon = 54000$ ,  $\lambda_{\max} = 592$  nm

5

**EXAMPLE 23**

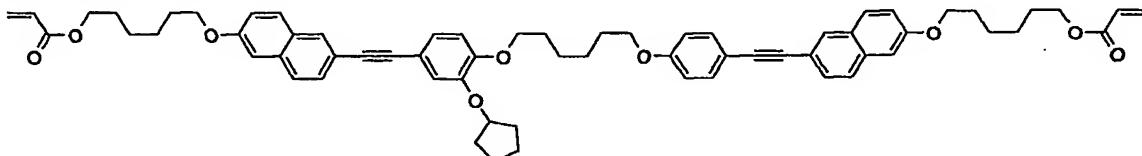


$\epsilon = 44000$ ,  $\lambda_{\max} = 501$  nm (CH<sub>2</sub>Cl<sub>2</sub>)

10

**EXAMPLES FOR THE PREPARATION OF DICHROIC MIXTURES**

The order parameter shown hereinafter in the examples 24-30 were each obtained by dissolving a dichroic dye in a LCP mixture M<sub>LCP</sub>. The mixture M<sub>LCP</sub> was composed of 99 weight % of the LCP monomer:



15

and 1 weight % of Tinuvin 123 (Ciba).

20

To this mixture M<sub>LCP</sub> were added 2 weight % of the photo initiator IRGARCURE369 (Ciba) and the below given amount of the respective dichroic dye to give the mixture M<sub>LCP/DYE</sub>.

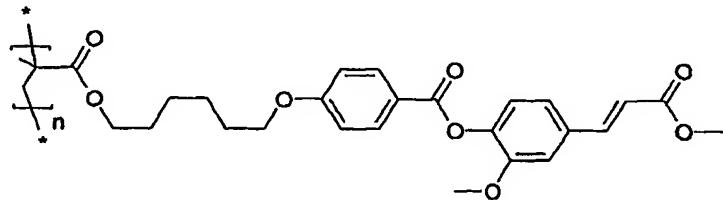
**EXAMPLES FOR THE PREPARATION OF DICHROIC LCP FILMS**

25

Samples of dichroic LCP films were prepared, whereby each sample comprised an alignment layer and a dichroic liquid crystal polymer layer. The alignment layers were

made using the linearly photo-polymerizable aligning (LPP) technique. The preparation of the samples is described in the following.

Suitable LPP materials for the production of an LPP orientation layer are, for example, described in patent publications EP 0 611 786, WO 96/10049 and EP 0 763 552, and include cinnamic acid derivatives and ferulic acid derivatives. For the examples, the following LPP material was chosen:



A 2 % solution of this LPP material in methylpropylketone (MPK) as a solvent was spin-coated at 2000 rpm for 60 seconds at room temperature (20 °C). The layer was then dried for 5 to 10 minutes at 130 to 150 °C on a hot stage. Subsequently, the layer was exposed to linearly polarized light from a mercury high-pressure lamp for 10 to 550 seconds (depending on the strength of the lamp and on the characteristics of LPP and dichroic LCP layers) at room temperature. The layer was then used as an orientation layer for a liquid crystal material comprising dichroic dyes.

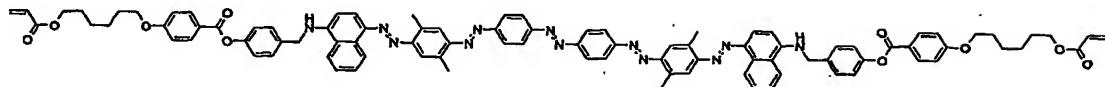
For the production of the dichroic LCP layers, the mixtures MLCP/DYE were dissolved in anisole to give a 30 wt% solution. These LCP mixtures were then spin-coated at 800 rpm for 60 seconds on top of the photo-exposed LPP layers. The spin-coated dichroic LCP layers were then dried at 60 °C for approximately 1 minute on a hot stage. For photo-initiated cross-linking of the liquid crystals and dye components, the layers were exposed to isotropic light from a xenon lamp for about 5 minutes (depending on the strength of the lamp) in an inert atmosphere.

Using the procedure described above, seven different examples, Examples 24-30, of photo-aligned dichroic LCP layers on glass substrates were prepared and their order parameter S measured at the indicated wavelength.

## EXAMPLES OF ORDER PARAMETERS

### EXAMPLE 24

5 For this example, 4 weight % of the dichroic dye



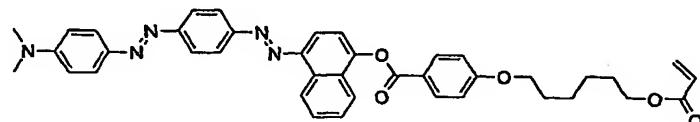
in the mixture  $M_{LCP}/DYE$  was used.

The result for the order parameter  $S$  measured at the wavelength  $\lambda_{max} = 566$  nm was:

10  $S = 0.81$ .

### EXAMPLE 25

For this example, 4 weight % of the dichroic dye



15

in the mixture  $M_{LCP}/DYE$  was used.

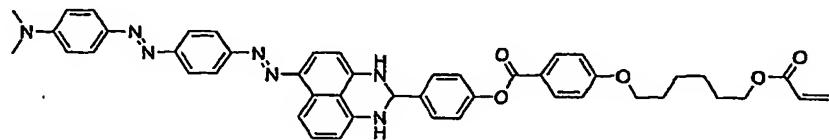
The result for the order parameter  $S$  measured at the wavelength  $\lambda_{max} = 508$  nm was:

$S = 0.85$ .

20

### EXAMPLE 26

For this example, 4 weight % of the dichroic dye



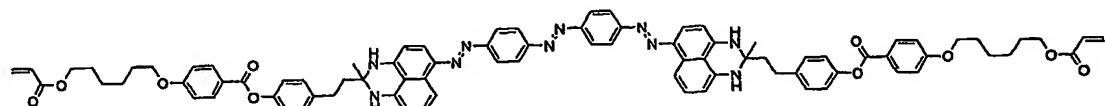
25 in the mixture  $M_{LCP}/DYE$  was used.

The result for the order parameter  $S$  measured at the wavelength  $\lambda_{max} = 556$  nm was:

$S = 0.84$ .

**EXAMPLE 27**

For this example, 4 weight % of the dichroic dye



in the mixture MLCP/DYE was used.

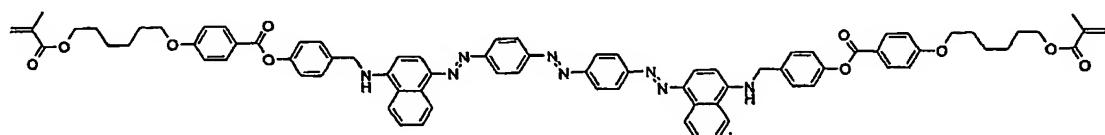
The result for the order parameter S measured at the wavelength  $\lambda_{\max} = 600$  nm was:

$$S = 0.84.$$

10

**EXAMPLE 28**

For this example, 2 weight % of the dichroic dye



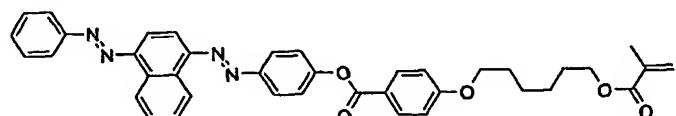
15 in the mixture MLCP/DYE was used.

The result for the order parameter S measured at the wavelength  $\lambda_{\max} = 566$  nm was:

$$S = 0.90.$$

20 **EXAMPLE 29**

For this example, 4 weight % of the dichroic dye



in the mixture MLCP/DYE was used.

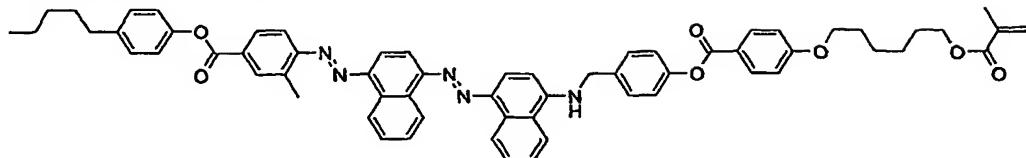
25

The result for the order parameter S measured at the wavelength  $\lambda_{\max} = 456$  nm was:

$$S = 0.77.$$

EXAMPLE 30

For this example, 4 weight % of the dichroic dye



5

in the mixture **MLCP/DYE** was used.

The result for the order parameter S measured at the wavelength  $\lambda_{\max} = 578$  nm was:

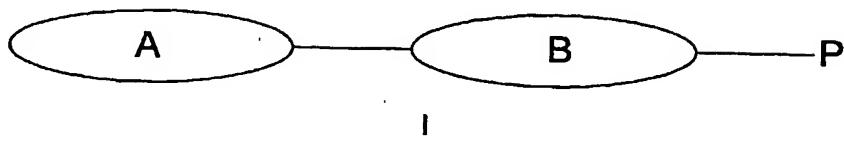
$S = 0.82$ .

10

CLAIMS:

1. A polymerizable dichroic azo dye of the general formula I:

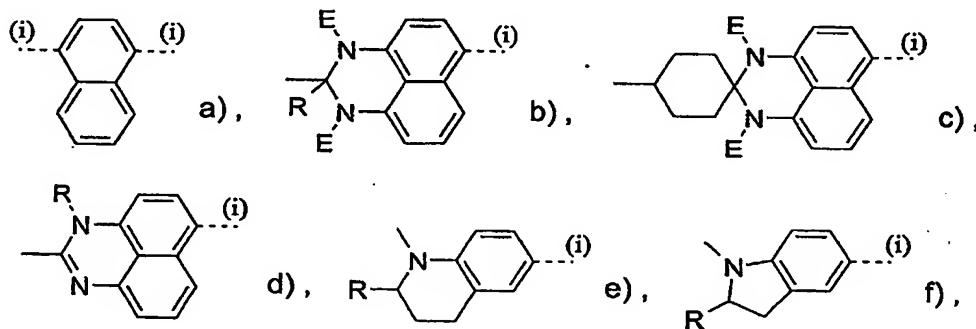
5



wherein:

A represents a dichroic residue, which may comprise one or more polymerizable groups PG, exhibiting at least partial absorption in the visible region between 400 nanometer and 800 nanometer and comprising at least one azo-binding group that is linked to at least one radical of formula a) to f) shown below,

15



20

which radical of formula a) to f) may be unsubstituted, mono- or poly-substituted by fluorine, chlorine, hydroxy,  $-NR^1R^2$  or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent  $CH_2$  groups may independently be replaced by  $-O-$ ,  $-CO-O-$ ,  $-O-CO-$ ,  $-NR^1-CO-$ ,  $-CO-NR^1-$ ,  $-NR^1-CO-O-$ ,  $-O-CO-NR^1-$ ,  $-CH=CH-$ ,  $-C\equiv C-$ ,  $-O-CO-O-$ , wherein  $R^1$  and  $R^2$  independently represent hydrogen or lower alkyl,

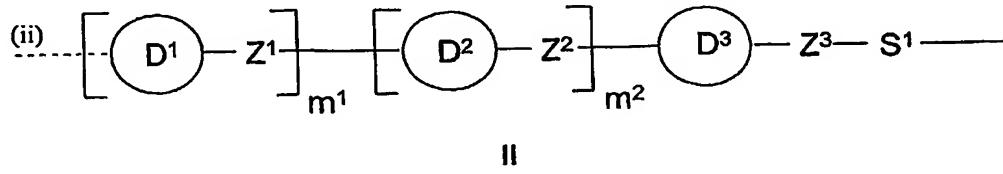
25

wherein the broken line (i) symbolizes the possible linkages to the azo-binding group and wherein

R represents hydrogen or lower alkyl;

E each independently represents hydrogen, lower alkyl, lower acyl or a polymerizable group selected from acryloyl or methacryloyl;

B represents a group of substructure II



wherein the broken line (ii) symbolizes the linkage to said dichroic residue and wherein:

10 D<sup>1</sup>, D<sup>2</sup>, D<sup>3</sup> each independently represents an aromatic or alicyclic group, which is unsubstituted or substituted by fluorine, chlorine, cyano, nitro, or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine and wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by Q, whereby Q represents -O-, -CO-, -CO-O-, -O-CO-, -Si(CH<sub>3</sub>)<sub>2</sub>-O-Si(CH<sub>3</sub>)<sub>2</sub>-, -NR-, -NR-CO-, -CO-NR-, -NR-CO-O-, -O-CO-NR-, -NR-CO-NR-, -CH=CH-, -C≡C-, -O-CO-O- and R has the meaning given above;

15

20 S<sup>1</sup> represents a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 24 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q has the meaning given above and wherein heteroatoms are not directly linked to each other;

25

30 Z<sup>1</sup>, Z<sup>2</sup>, Z<sup>3</sup> each independently represents a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 8 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be

replaced by Q or -CR=C-CO-, wherein Q and R have the meaning given above;

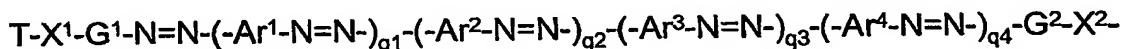
$m^1, m^2$  independently are 0 or 1; and

5

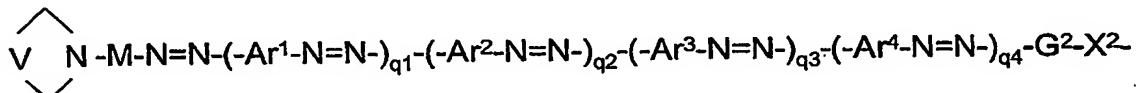
P represents hydrogen, halogeno, cyano, nitro or a polymerizable group PG; and

10 with the proviso that the compound of formula I comprises at least one polymerizable group.

2. A polymerizable dichroic azo dye according to claim 1, wherein the dichroic residue A is represented by formulae IIIa or IIIb:



IIIa



IIIb

15

wherein

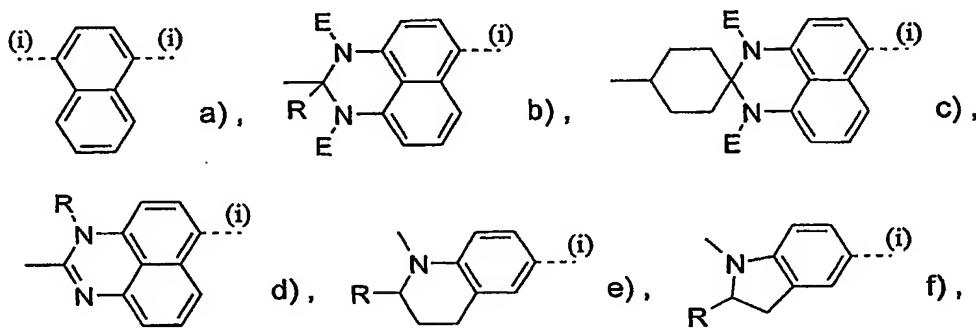
Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup>, Ar<sup>4</sup> independently of each other are 1,4-phenylene, 1,4- or 1,5-naphthylene, which are unsubstituted, mono- or poly-substituted by 20 fluorine, chlorine, hydroxy, -NR<sup>1</sup>R<sup>2</sup> or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q has the meaning given above and R<sup>1</sup> and R<sup>2</sup> independently represent hydrogen or lower alkyl;

25

$q^1, q^2, q^3, q^4$  independently are 0 or 1;

30

G<sup>1</sup>, G<sup>2</sup> represent independently of each other 1,4-phenylene or a group of formula a) to f)



5

which are unsubstituted, mono- or poly-substituted by fluorine, chlorine, hydroxy,  $-\text{NR}^1\text{R}^2$  or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent  $\text{CH}_2$  groups may independently be replaced by  $-\text{O}-$ ,  $-\text{CO-O-}$ ,  $-\text{O-CO-}$ ,  $-\text{NR}^1-\text{CO-}$ ,  $-\text{CO-NR}^1-$ ,  $-\text{NR}^1-\text{CO-O-}$ ,  $-\text{O-CO-NR}^1-$ ,  $-\text{CH=CH-}$ ,  $-\text{C}\equiv\text{C-}$ ,  $-\text{O-CO-O-}$ , wherein  $\text{R}^1$  and  $\text{R}^2$  independently represent hydrogen or lower alkyl and wherein the broken lines (i) symbolize the linkage to the azo-binding group and R, E have the meaning given above;

15

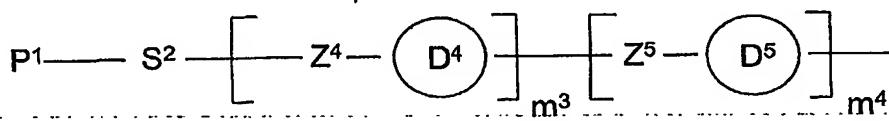
M represents 1,4-phenylene, 1,4-naphthylene which are unsubstituted, mono- or poly-substituted by fluorine, chlorine, hydroxy,  $-\text{NR}^1\text{R}^2$  or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent  $\text{CH}_2$  groups may independently be replaced by  $-\text{O-}$ ,  $-\text{CO-O-}$ ,  $-\text{O-CO-}$ ,  $-\text{NR}^1-\text{CO-}$ ,  $-\text{CO-NR}^1-$ ,  $-\text{NR}^1-\text{CO-O-}$ ,  $-\text{O-CO-NR}^1-$ ,  $-\text{CH=CH-}$ ,  $-\text{C}\equiv\text{C-}$ ,  $-\text{O-CO-O-}$ , wherein  $\text{R}^1$  and  $\text{R}^2$  independently represent hydrogen or lower alkyl;

20

25

25

T represents a group of substructure IV



IV

wherein

5 P<sup>1</sup> represents hydrogen, halogeno, cyano, nitro or a polymerizable group PG;

10 S<sup>2</sup> represents a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 24 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q has the meaning given above and such that heteroatoms are not directly linked to each other;

15 Z<sup>4</sup>, Z<sup>5</sup> each independently represent a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 8 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by Q or -CR=C-CO-, wherein Q and R have the meaning given above; and

20 D<sup>4</sup>, D<sup>5</sup> each independently represent an aromatic or alicyclic group, which is unsubstituted or substituted by fluorine, chlorine, cyano, nitro, or by a straight chain or branched alkyl residue having 1-10 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by Q, wherein Q has the meaning given above;

25 X<sup>1</sup>, X<sup>2</sup> represent independently of each other a single covalent bond or a spacer unit, such as a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted by cyano or halogeno, or poly-substituted by halogeno, having 1 to 8 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-, -CO-O-, -O-CO-, -Si(CH<sub>3</sub>)<sub>2</sub>-O-Si(CH<sub>3</sub>)<sub>2</sub>-, -NW-, -NW-CO-, -CO-NW-, -NW-CO-O-, -O-CO-NW-, -NW-CO-NW-, -CH=CH-, -C≡C-, -O-CO-O- or -CW=C-CO-, wherein W represents a group of substructure V

30 P<sup>2</sup>-Sp- V

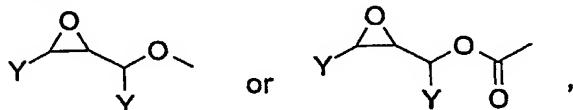
35 wherein

5 P2 represents hydrogen, cyano or a polymerizable group PG; and  
Sp represents a single covalent bond or a spacer unit, such as a  
straight-chain or branched alkylene residue, which is unsubstituted,  
mono-substituted by cyano or halogeno, or poly-substituted by  
halogeno, having 1 to 5 carbon atoms, wherein one or more of the  
non-adjacent  $\text{CH}_2$  groups may independently be replaced by  $-\text{O}-$ ,  
 $-\text{CO}-$ ,  $-\text{CO-O}-$ ,  $-\text{O-CO}-$ , such that heteroatoms are not directly linked  
to each other;

10 V is selected from a group consisting of a single covalent bond,  $-\text{CH}_2-$ ,  
 $-\text{CH}_2-\text{CH}_2-$ ,  $-\text{CH}_2-\text{CH}_2-\text{CH}_2-$ ,  $-\text{CH}_2-\text{O-CH}_2-$ ,  $-\text{CH}_2-\text{NT-CH}_2-$ ,  
 $-\text{CH}_2-(-\text{CH}_2)_2-\text{CH}_2-$ , wherein T has the meaning given above;

15 with the proviso that if G<sup>1</sup>, G<sup>2</sup> and M are optionally substituted 1,4-phenylene,  
at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is optionally substituted 1,4-naphthylene.

3. A polymerizable dichroic azo dye according to any preceding claim wherein the  
polymerizable groups PG are each independently preferably selected from the  
formulae  $\text{CH}_2=\text{CY}-$ ,  $\text{CH}_2=\text{CY-COO-}$ ,  $\text{CH}_2=\text{CH-CO-NH-}$ ,  $\text{CH}_2=\text{C}(\text{Ph})\text{-CO-NH-}$ ,  
20  $\text{CH}_2=\text{CH-O-}$ ,  $\text{CH}_2=\text{CH-OOC-}$ ,  $\text{Ph-CH=CH-}$ ,  $\text{CH}_2=\text{CH-Ph-}$ ,  $\text{CH}_2=\text{CH-Ph-O-}$ ,  
 $\text{CH}_2=\text{CH-Ph-OCO-}$ ,  $\text{R}^3\text{-Ph-CH=CH-COO-}$ ,  $\text{R}^2\text{-OOC-CH=CH-Ph-O-}$ , N-maleinimidyl,



wherein Y each independently represents hydrogen, chloro or methyl, R<sup>2</sup> is hydrogen  
or lower alkyl, R<sup>3</sup> is hydrogen or lower alkoxy, Ph- is phenyl and -Ph- is 1,4-phenylene.

25 4. A polymerizable dichroic azo dye according to claim 3, wherein the polymerizable  
groups PG are  $\text{CH}_2=\text{CY}-$ ,  $\text{CH}_2=\text{CY-COO-}$ ,  $\text{CH}_2=\text{CH-O-}$ ,  $\text{CH}_2=\text{CH-OOC-}$ ,  
 $\text{CH}_2=\text{CH-Ph-O-}$ ,  $\text{CH}_2=\text{CH-Ph-OCO-}$ ,



30 wherein Y is hydrogen or methyl.

5. A polymerizable dichroic azo dye according to claim 3, wherein the polymerizable groups PG are  $\text{CH}_2=\text{CY}-\text{COO}-$ ,  $\text{CH}_2=\text{CH}-\text{O}-$  and  $\text{CH}_2=\text{CH}-\text{OOC}-$ , wherein Y is hydrogen or methyl.

5 6. A polymerizable dichroic azo dye according to any preceding claim, wherein rings D<sup>1</sup>, D<sup>2</sup>, D<sup>3</sup>, D<sup>4</sup> and D<sup>5</sup> independently of each other are unsubstituted, saturated five- or six-membered alicyclic rings or six- or ten-membered aromatic rings, which are unsubstituted, mono- or poly-substituted by fluorine or chlorine or nitro or by a straight chain or branched alkyl residue having 1-6 carbon atoms, which alkyl residue is 10 unsubstituted, mono- or poly-substituted by fluorine, and wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -NR<sup>2</sup>-CO-, -CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-O-, -O-CO-NR<sup>2</sup>-, -CH=CH-, -C≡C-, -O-CO-O-, wherein R<sup>2</sup> represents hydrogen or lower alkyl.

15 7. A polymerizable dichroic azo dye according to claim 6, wherein rings D<sup>1</sup>, D<sup>2</sup>, D<sup>3</sup>, D<sup>4</sup> and D<sup>5</sup> are unsubstituted cyclopentane-1,3-diyl, unsubstituted 1,3-dioxane-2,5-diyl, unsubstituted cyclohexane-1,4-diyl, unsubstituted naphtalene-2,6-diyl or 1,4-phenylene, which is unsubstituted, mono- or poly-substituted by fluorine or chlorine or by a straight-chain or branched alkyl residue having 1-3 carbon atoms, which alkyl residue is unsubstituted, mono- or poly-substituted by fluorine, and wherein one or 20 more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -CH=CH-, -C≡C-.

25 8. A polymerizable dichroic azo dye according to claim 6, wherein rings D<sup>1</sup>, D<sup>2</sup>, D<sup>3</sup>, D<sup>4</sup> and D<sup>5</sup> are 1,4-phenylene, which is unsubstituted, mono- or poly-substituted by fluorine, chlorine, methyl, methoxy, acyl or -CO-O-CH<sub>3</sub>.

30 9. A polymerizable dichroic azo dye according to any preceding claim, wherein the S<sup>1</sup> and S<sup>2</sup> comprise a single bond, or a straight-chain or branched alkylene grouping, such as -(CH<sub>2</sub>)<sub>r</sub>, and also -(CH<sub>2</sub>)<sub>r</sub>-O-(CH<sub>2</sub>)<sub>s</sub>-, -(CH<sub>2</sub>)<sub>r</sub>-CO-O-(CH<sub>2</sub>)<sub>s</sub>-, -(CH<sub>2</sub>)<sub>r</sub>-O-CO-(CH<sub>2</sub>)<sub>s</sub>-, -(CH<sub>2</sub>)<sub>r</sub>-NR<sup>2</sup>-CO-(CH<sub>2</sub>)<sub>s</sub>-, -(CH<sub>2</sub>)<sub>r</sub>-NR<sup>2</sup>-CO-O-(CH<sub>2</sub>)<sub>s</sub>-, -(CH<sub>2</sub>)<sub>r</sub>-(OCH<sub>2</sub>CH<sub>2</sub>)<sub>s</sub>-(CH<sub>2</sub>)<sub>t</sub> wherein r, s and t are each an integer from 1 to 20, the sum of r + s + t ≤ 21, wherein R<sup>2</sup> represents hydrogen or lower alkyl, and which are attached to the dichroic residue and the polymerizable group, respectively, such that 35 heteroatoms are not directly linked to each other.

10. A polymerizable dichroic azo dye according to claim 9, wherein S<sup>1</sup> and S<sup>2</sup> are a single bond or a C<sub>1</sub>-14 straight-chain alkylene group, especially ethylene, propylene, butylene, pentylene, hexylene, heptylene, octylene, nonylene, decylene, undecylene, or dodecylene.

5

11. A polymerizable dichroic azo dye according to anyone of claims 2 to 10, wherein Sp is a single bond, or a straight-chain or branched alkylene grouping, such as a C<sub>1</sub>-5 straight-chain alkylene group, and also -(CH<sub>2</sub>)<sub>u</sub>-O-(CH<sub>2</sub>)<sub>v</sub>-, -(CH<sub>2</sub>)<sub>u</sub>-CO-O-(CH<sub>2</sub>)<sub>v</sub>-, -(CH<sub>2</sub>)<sub>u</sub>-O-CO-(CH<sub>2</sub>)<sub>v</sub>-, wherein u and v are each an integer from 1 to 4, the sum of u + v ≤ 4.

10

12. A polymerizable dichroic azo dye according to claim 11, wherein Sp is a single bond and a C<sub>1</sub>-5 straight-chain alkylene group, especially ethylene, propylene, butylene or pentylene.

15

13. A polymerizable dichroic azo dye according to any preceding claim, wherein Z<sup>1</sup>, Z<sup>2</sup>, Z<sup>3</sup>, Z<sup>4</sup> and Z<sup>5</sup> are a single covalent bond or a straight-chain or branched alkylene residue, which is unsubstituted, mono-substituted or poly-substituted by fluoro, having 1 to 8 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-, -CO-O-, -O-CO-, -NR<sup>2</sup>-CO-, -CO-NR<sup>2</sup>-, -NR<sup>2</sup>-CO-O-, -O-CO-NR<sup>2</sup>-, -CH=CH-, -C≡C-, -O-CO-O-, -CR<sup>2</sup>=C-CO-, wherein R<sup>2</sup> represents hydrogen or lower alkyl.

20

14. A polymerizable dichroic azo dye according to claim 13, wherein Z<sup>1</sup>, Z<sup>2</sup>, Z<sup>3</sup>, Z<sup>4</sup> and Z<sup>5</sup> groups are a single covalent bond or a straight-chain or branched alkylene residue, having 1 to 4 carbon atoms, wherein one or more of the non-adjacent CH<sub>2</sub> groups may independently be replaced by -O-, -CO-O-, -O-CO-, -CH=CH-, -C≡C-, -O-CO-O-, -CR<sup>2</sup>=C-CO-, wherein R<sup>2</sup> represents hydrogen or lower alkyl.

25

15. A polymerizable dichroic azo dye according to claim 14, wherein Z<sup>1</sup>, Z<sup>2</sup>, Z<sup>3</sup>, Z<sup>4</sup> and Z<sup>5</sup> are each independently selected from a group consisting of a single covalent bond, -CO-O-, -O-CO-, -CH<sub>2</sub>-O- or -O-CH<sub>2</sub>-.

30

16. A polymerizable dichroic azo dye according to any preceding claim, wherein E

35

represents hydrogen, methyl, acetyl, acryloyl and methacryloyl, especially hydrogen, methyl and acetyl.

17. A polymerizable dichroic azo dye according to any preceding claim, wherein the sum of the integers  $m^1 + m^2$  is 0 or 1.
- 5
18. A polymerizable dichroic azo dye according to anyone of claims 2 to 17, wherein the sum of the integers  $q^1 + q^2 + q^3 + q^4$  is 0, 1, 2.
- 10
19. A polymerizable dichroic azo dye according to anyone of claims 2 to 18, wherein  $X^1$  and  $X^2$  when linked to 1,4-phenylene or 1,4-naphthylene each independently represent a single covalent bond, -CO-O-, -O-CO-, -CH<sub>2</sub>-O-, -O-CH<sub>2</sub>-, -NW-, -CH<sub>2</sub>-NW-, -NW-CH<sub>2</sub>-, -N=CR-, -CR=N-, -NW-CO- or -CO-NW-, and preferably -CO-O-, -O-CO-, -CH<sub>2</sub>-O-, -O-CH<sub>2</sub>-, -NR- or -CH<sub>2</sub>-NR-, -NR-CH<sub>2</sub>-, -NR-CO- or -CO-NR-, wherein W and R have the meaning given above.
- 15
- 20
20. A polymerizable dichroic azo dye according to anyone of claims 2 to 18, wherein  $X^1$  and  $X^2$  when linked to a group of formula b), c) or d) each independently represent a single covalent bond, -CH<sub>2</sub>-CH<sub>2</sub>-, -O-CH<sub>2</sub>...<sup>(iv)</sup>, -NW-CH<sub>2</sub>...<sup>(iv)</sup>, -CH=CH-, -O-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>...<sup>(iv)</sup> or -NW-CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>...<sup>(iv)</sup>, preferably a single covalent bond, -CH<sub>2</sub>-CH<sub>2</sub>-, -O-CH<sub>2</sub>...<sup>(iv)</sup>, -NW-CH<sub>2</sub>...<sup>(iv)</sup>, -CH=CH-, and most preferably a single covalent bond or -CH<sub>2</sub>-CH<sub>2</sub>-, wherein W has the meaning given above and the broken lines (iv) symbolize the linkage to the groups of formula b), c) or d).
- 25
21. A polymerizable dichroic azo dye according to anyone of claims 2 to 18, wherein  $X^1$  and  $X^2$  when linked to a group of formula e) or f) each independently represent -CH<sub>2</sub>-, -CO-, -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>-, -O-CH<sub>2</sub>-CH<sub>2</sub>...<sup>(iv)</sup>, -NW-CH<sub>2</sub>-CH<sub>2</sub>...<sup>(iv)</sup>, -CH=CH-CH<sub>2</sub>...<sup>(iv)</sup>, -OCO-CH<sub>2</sub>...<sup>(iv)</sup> or -CH<sub>2</sub>-OCO...<sup>(iv)</sup>, and preferably -CH<sub>2</sub>- or -CO-, wherein W has the meaning given above and the broken lines (iv) symbolize the linkage to the groups of formula e) or f).
- 30
22. A polymerizable dichroic azo dye according to anyone of claims 2 to 18, wherein V is selected from a group consisting of -CH<sub>2</sub>-CH<sub>2</sub>-, -CH<sub>2</sub>-CH<sub>2</sub>-CH<sub>2</sub>- or -CH<sub>2</sub>-O-CH<sub>2</sub>-.
- 35
23. A polymerizable dichroic azo dye according to anyone of claims 2 to 18, wherein

M is 1,4-phenylene, which is unsubstituted, monosubstituted by chlorine or -CH<sub>3</sub>, with the proviso that at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is optionally substituted  
1,4-naphthylene

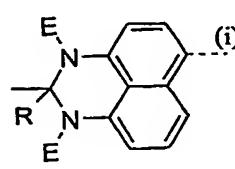
5 24. A polymerizable dichroic azo dye according to claim 23, wherein M is  
unsubstituted 1,4-phenylene, with the proviso that at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is  
1,4-naphthylene.

10 25. A polymerizable dichroic azo dye according to anyone of claims 2 to 24, wherein  
Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> and Ar<sup>4</sup> independently of each other are 1,4-phenylene or 1,4-  
naphthylene, which are unsubstituted, mono- or disubstituted by fluorine, chlorine,  
-OCH<sub>3</sub> or -CH<sub>3</sub>, with the proviso that at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is optionally  
substituted 1,4-naphthylene if G<sup>1</sup> and G<sup>2</sup> are optionally substituted 1,4-phenylen.

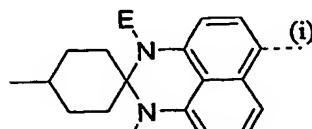
15 26. A polymerizable dichroic azo dye according to claim 25, wherein Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup>  
and Ar<sup>4</sup> independently of each other are 1,4-phenylene, which is unsubstituted, mono-  
or disubstituted by chlorine or -CH<sub>3</sub> or unsubstituted 1,4-naphthylene, with the proviso  
that at least one of Ar<sup>1</sup>, Ar<sup>2</sup>, Ar<sup>3</sup> or Ar<sup>4</sup> is unsubstituted 1,4-naphthylene if G<sup>1</sup> and G<sup>2</sup>  
are optionally substituted 1,4-phenylen.

20 27. A polymerizable dichroic azo dye according to anyone of claims 2 to 26, wherein  
G<sup>1</sup> and G<sup>2</sup> independently of each other are 1,4-phenylene or 1,4-naphthylene, which  
are unsubstituted, mono- or disubstituted by fluorine, chlorine, -OCH<sub>3</sub> or  
-CH<sub>3</sub>, preferably 1,4-phenylene, which is unsubstituted, mono- or  
disubstituted by chlorine or -CH<sub>3</sub> or unsubstituted 1,4-naphthylene; or  
a group of formula b), c) and d), preferably a group of formula b) and c),  
which are unsubstituted and wherein the broken lines (i) symbolize the  
linkage to the azo-binding group and R, E have the meaning given above

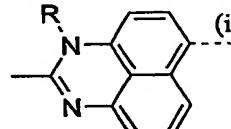
25



b),



с),

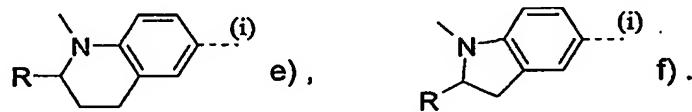


d);

30

or

a group of formula e) and f), which is unsubstituted and wherein the broken lines (i) symbolize the linkage to the azo-binding group and R represents hydrogen or lower alkyl



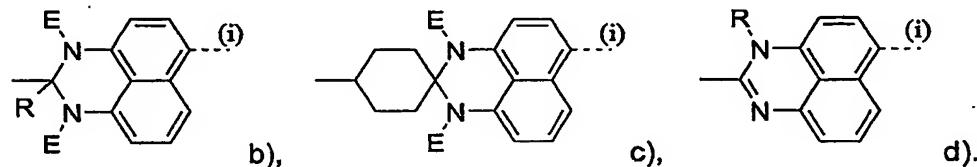
5

28. A polymerizable dichroic azo dye according to claim 27, wherein

G<sup>1</sup> and G<sup>2</sup> independently of each other are

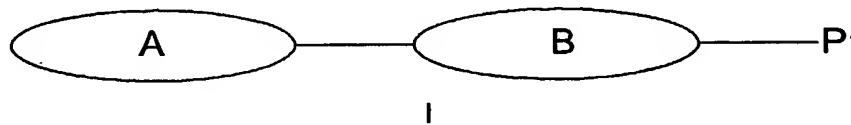
1,4-phenylene or 1,4-naphthylene, which are unsubstituted, mono- or disubstituted by fluorine, chlorine, -OCH<sub>3</sub> or -CH<sub>3</sub>, preferably 1,4-phenylene, which is unsubstituted, mono- or disubstituted by chlorine or -CH<sub>3</sub> or unsubstituted 1,4-naphthylene; or

a group of formula b), c) and d), preferably a group of formula b) and c), which are unsubstituted and wherein the broken lines (i) symbolize the linkage to the azo-binding group and R, E have the meaning given above



29. A polymerizable dichroic azo dye of the general formula I:

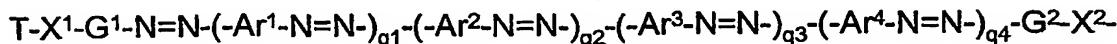
20



wherein

25

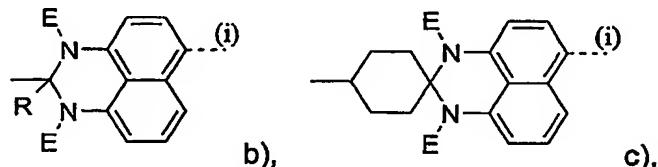
A is a dichroic residue of general formula IIIa,



IIIa

wherein

G<sup>1</sup> and G<sup>2</sup> independently of each other represent 1,4-phenylene, which is unsubstituted, mono- or disubstituted by chlorine or -CH<sub>3</sub>, or unsubstituted 1,4-naphthylene; or a group of formula b) or c)



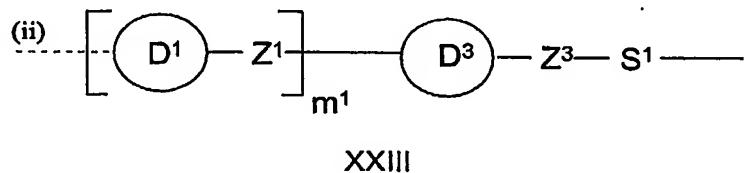
wherein the broken lines (i) symbolize the linkage to the azo-binding group; and wherein

E independently represents hydrogen, methyl and acetyl;

R independently represents hydrogen, methyl, ethyl, propyl and isopropyl;

$X^1$  and  $X^2$  independently of each other represent a covalent bond,  $\text{CH}_2\text{-CH}_2$ -,  
 $-\text{CO-O}$ -,  $-\text{O-CO}$ -,  $-\text{CH}_2\text{-O}$ -,  $-\text{O-CH}_2$ -,  $-\text{NR}$ - or  $-\text{CH}_2\text{-NR}$ -,  $-\text{NR-CH}_2$ -,  $-\text{NR-CO}$ -  
or  $-\text{CO-NR}$ -, wherein R has the meaning given above;

B represents a group of substructure XXIII



wherein the broken line (ii) symbolizes the linkage to said dichroic residue;

20

and wherein

$\text{Ar}^1, \text{Ar}^2, \text{Ar}^3, \text{Ar}^4$  are independently of each other 1,4-phenylene, which is unsubstituted, mono- or disubstituted by chlorine or  $-\text{CH}_3$ , or unsubstituted 1,4-naphthylene, with the proviso that if  $\text{G}^1$  and  $\text{G}^2$  are optionally substituted 1,4-phenylene at least one of  $\text{Ar}^1, \text{Ar}^2, \text{Ar}^3$  or  $\text{Ar}^4$  is unsubstituted 1,4-naphthylene;

$q_1, q_2, q_3, q_4$  independently are 0 or 1, with the proviso that the sum of the integers  $q_1 + q_2 + q_3 + q_4 + 0, 1, \text{ or } 2$ .

30

P and P<sup>1</sup> independently of each other represent hydrogen, halogeno, cyano, nitro or a polymerizable group PG, wherein PG includes  $\text{CH}_2=\text{CY}-\text{COO}-$ ,  $\text{CH}_2=\text{CH}-\text{O}-$  and  $\text{CH}_2=\text{CH}-\text{OOC}-$ , wherein Y is hydrogen or methyl;

5 D<sup>1</sup>, D<sup>3</sup>, D<sup>4</sup> and D<sup>5</sup> independently of each other represent 1,4-phenylene, which is unsubstituted, mono- or poly-substituted by fluorine, chlorine, methyl, methoxy, acyl or  $-\text{CO}-\text{O}-\text{CH}_3$ ;

10 Z<sup>1</sup>, Z<sup>3</sup>, Z<sup>4</sup> and Z<sup>5</sup> independently of each other represent selected from a group consisting of a single covalent bond,  $-\text{CO}-\text{O}-$ ,  $-\text{O}-\text{CO}-$ ,  $-\text{CH}_2-\text{O}-$  or  $-\text{O}-\text{CH}_2-$ ;

15 S<sup>1</sup> and S<sup>2</sup> represent independently of each other a single bond, ethylene, propylene, butylene, pentylene, hexylene, heptylene, octylene, nonylene, decylene, undecylene, or dodecylene and

15 m<sup>1</sup>, m<sup>3</sup>, m<sup>4</sup> are independently of each other 0 or 1.

30. A polymerizable dichroic azo dye according to claim 29, wherein if G<sup>1</sup> and G<sup>2</sup> independently of each other represent a group of formula b) or c), X<sup>1</sup> and X<sup>2</sup> independently of each other represent a covalent bond or  $\text{CH}_2-\text{CH}_2-$ .

31. Use of a polymerizable dichroic azo dye according to any preceding claim for the preparation of mesogenic, polymerizable mixtures.

25 32. A mesogenic, polymerizable mixture comprising at least one polymerizable dichroic azo dye of formula I according to any preceding claim.

30 33. A mesogenic, polymerizable mixture according to claim 32, wherein the polymerizable dichroic azo dye is at a concentration of 0.01 to 50 %wt, more preferably from 0.01 to 20 %wt, most preferably from 0.01 to 10 %wt.

34. A mesogenic, polymerizable mixture according to claim 32 or 33 further comprising another dichroic or non-dichroic dye.

35. A mesogenic, polymerizable mixture according to anyone of claims 32 to 34 further comprising at least one polymerizable liquid crystal (LCP).

5 36. A mesogenic, polymerizable mixture according to anyone of claims 32 to 35 further comprising additives such as crosslinkers, stabilizers and photoinitiators.

10 37. A mesogenic, polymerizable mixture according to anyone of claims 32 to 36 comprising at least one dichroic dye of formula I and at least one polymerizable liquid crystal compound and optionally additives such as crosslinkers, stabilizers and photoinitiators.

15 38. A mesogenic, polymerizable mixture according to anyone of claims 32 to 37 comprising one to four dichroic dyes of formula I and at least one polymerizable liquid crystal compound comprising two polymerizable groups and optionally additives such as crosslinkers, stabilizers and photoinitiators.

39. Use of a mesogenic, polymerizable mixture according to anyone of claims 32 to 38 for the preparation of dichroic liquid crystalline polymer films.

20 40. A dichroic liquid crystalline polymer film comprising a mesogenic, polymerizable mixture according to anyone of claims 32 to 38.

41. Use of a dichroic liquid crystal film according to claim 40 in the manufacture of devices such as polarizers or optical filters.

25 42. Process of preparing a dichroic liquid crystalline polymer film comprising a mesogenic, polymerizable mixture according to anyone of claims 32 to 38 comprising (i) preparing a solution of said mixture, (ii) applying said solution to a substrate by different coating techniques, (iii) evaporating the solvent to obtain a film, and (iv) polymerizing said film using UV light to give said dichroic liquid crystal film.

30 43. Process according to claim 41, wherein the dichroic liquid crystal films are further coated with other layers, such as protective layers for protection against oxygen, UV irradiation or mechanical stress.

44. Process according to claims 41 or 42, wherein the substrates include transparent substrates, such as glass or plastic, including an orientation layer.

5 45. Process according to claim 44, wherein said orientation layer includes rubbed polyimide, or polyamide or preferably layers of photo-orientable materials.

46. Process according to claim 45, wherein said photo-orientable orientation layers are Linearly Photopolymerizable Polymers (LPP).

10 47. Multilayer systems formed from stacks of alternating LPP and LCP layers, wherein at least one of the LCP layers is a dichroic LCP film according to claim 40, and which are optionally covered by other functional layers, such as protecting layers against oxygen or humidity or layers for protection against ultraviolet radiation.

15 48. Process of preparing a dichroic liquid crystalline polymer film comprising a mesogenic, polymerizable mixture according to anyone of claims 32 to 38 comprising  
(i) preparing a solution of said mixture,  
(ii) admixing said solution with a photoorientable material,  
20 (iii) evaporating the solvent to obtain a film, and  
(iv) polymerizing said film using UV light to give said dichroic liquid crystal film.

49. Use of a mesogenic, polymerizable mixture according to anyone of claims 32 to 38 for the preparation of electro-optical and optical devices including security devices.

25 50. Use of a dichroic liquid crystalline polymer film according to claim 40 for the preparation of electro-optical and optical devices including security devices.

30 51. Electro-optical or optical component or a security device comprising a dichroic liquid crystalline polymer film formed from a mesogenic, polymerizable mixture according to anyone of claims 32 to 38.

52. Orientation layer comprising at least one polymerizable dichroic azo dye according to anyone of claims 1 to 30.

---

53. Orientation layer according to claim 52 further comprising rubbed polyimide, or polyamide or preferably layers of photo-orientable materials.

54. Use of an orientation layer according to claims 52 or 53 in the manufacture of optical or electro-optical components, such as structured or unstructured optical filters, polarizers or elements of security devices.

ABSTRACT

The present invention is directed towards polymerizable dichroic azo dyes comprising azo-chromophores having at least one standard mesogenic ring system attached to  
5 said chromophores and at least one polymerizable group leading to dyes having sufficient solubility and a very high order parameter.